

# Berberine and Its More Biologically Available Derivative, Dihydroberberine, Inhibit Mitochondrial Respiratory Complex I

## A Mechanism for the Action of Berberine to Activate AMP-Activated Protein Kinase and Improve Insulin Action

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**OBJECTIVE**—Berberine (BBR) activates AMP-activated protein kinase (AMPK) and improves insulin sensitivity in rodent models of insulin resistance. We investigated the mechanism of activation of AMPK by BBR and explored whether derivatization of BBR could improve its in vivo efficacy.

**RESEARCH DESIGN AND METHODS**—AMPK phosphorylation was examined in L6 myotubes and *LKB1*<sup>-/-</sup> cells, with or without the Ca<sup>2+</sup>/calmodulin-dependent protein kinase kinase (CAMKK) inhibitor STO-609. Oxygen consumption was measured in L6 myotubes and isolated muscle mitochondria. The effect of a BBR derivative, dihydroberberine (dhBBR), on adiposity and glucose metabolism was examined in rodents fed a high-fat diet.

**RESULTS**—We have made the following novel observations: 1) BBR dose-dependently inhibited respiration in L6 myotubes and muscle mitochondria, through a specific effect on respiratory complex I, similar to that observed with metformin and rosiglitazone; 2) activation of AMPK by BBR did not rely on the activity of either LKB1 or CAMKK $\beta$ , consistent with major regulation at the level of the AMPK phosphatase; and 3) a novel BBR derivative, dhBBR, was identified that displayed improved in vivo efficacy in terms of counteracting increased adiposity, tissue triglyceride accumulation, and insulin resistance in high-fat-fed rodents. This effect is likely due to enhanced oral bioavailability.

**CONCLUSIONS**—Complex I of the respiratory chain represents a major target for compounds that improve whole-body insulin

sensitivity through increased AMPK activity. The identification of a novel derivative of BBR with improved in vivo efficacy highlights the potential importance of BBR as a novel therapy for the treatment of type 2 diabetes. *Diabetes* 57:1414–1418, 2008

Insulin resistance is a major metabolic abnormality leading to type 2 diabetes, and, as such, there is considerable interest in the discovery of insulin-sensitizing agents to aid in the treatment of this disease. AMP-activated protein kinase (AMPK), a heterotrimeric protein that plays a key role in regulation of whole-body energy homeostasis, is one attractive drug target. Two classes of commonly used insulin-sensitizing drugs, thiazolidinediones and biguanides, exert their beneficial effects, at least in part, by activating AMPK (1,2).

Natural products have been a rich resource for the development of novel therapeutics used to treat a variety of human diseases. We have recently reported that berberine (BBR) displays insulin-sensitizing properties in rodent models of insulin resistance and diabetes (3). BBR is commonly used as a nonprescription oral drug in China to treat gut infections and diarrhea with few side effects, and its therapeutic potential for the treatment of diabetes (4) and dyslipidemia (5) in humans has been reported. These beneficial effects are related in part to the ability of BBR to activate AMPK (3,6,7). Here we show that, similar to metformin and rosiglitazone, BBR activates AMPK via inhibition of respiratory complex I of the mitochondrion.

Despite its potent stimulation of AMPK in cell-based assays, an important issue arising from our previous work (3) is that considerably large oral doses (380–560 mg · kg<sup>-1</sup> · day<sup>-1</sup>) of BBR were required for beneficial metabolic effects in rodents. Aiming to improve its therapeutic efficacy, we designed a number of BBR derivatives and show that one such derivative, dihydroberberine (dhBBR), has markedly improved in vivo efficacy in the treatment of insulin-resistant rodents.

### RESEARCH DESIGN AND METHODS

**Preparation of dihydroberberine.** Details of the procedures used to prepare dhBBR are included in a supplemental file available in an online appendix at <http://dx.doi.org/10.2337/db07-1552>.

**Cell experiments.** Cell culture conditions for *LKB1*<sup>-/-</sup> mouse embryonic fibroblasts (MEFs) and L6 myotubes were as described previously (3,8). [<sup>3</sup>H]-2-deoxyglucose uptake was measured in L6 cells according to Cheng et al. (7). For immunoblotting experiments, cell lysates were resolved by SDS-

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ACC, acetyl-CoA carboxylase; AICAR, 5-aminoimidazole-4-carboxamide-1- $\beta$ -D-ribofuranoside; AMPK, AMP-activated protein kinase; BBR, berberine; CAMKK, Ca<sup>2+</sup>/calmodulin-dependent protein kinase kinase; dhBBR, dihydroberberine; HFD, high-fat diet; MEF, mouse embryonic fibroblast.

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PAGE, transferred to polyvinylidene difluoride membranes, and immunoblotted with antibodies specific for LKB1 (Santa Cruz Biotechnology, Santa Cruz, CA), AMPK, pThr172-AMPK, acetyl-CoA carboxylase (ACC), and pSer79-ACC (Cell Signaling Technology, Beverly, MA).

**Animals.** Male C57Bl/6J mice (6–8 weeks old) and male Wistar rats (250 g) purchased from the Animal Resources Centre (Perth, Australia) were kept in a temperature-controlled room ( $22 \pm 1^\circ\text{C}$ ) on a 12-h light/dark cycle with free access to water. Animals were randomly assigned to receive either standard control rodent diet or a high-fat diet (HFD) to generate insulin resistance (9,10). Mice and rats were fed for 10 weeks and 4 weeks, respectively, and based on pilot testing for dhBBR in mice, BBR and dhBBR were provided in the HFD at a dose of  $100 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$  for the final 2 weeks of feeding. All experiments were carried out with the approval of the Garvan Institute Animal Experimentation Ethics Committee, following guidelines issued by the National Health and Medical Research Council of Australia.

**Muscle mitochondrial isolation and respiration measurements.** Methods describing the mitochondrial isolation and respiration measurements are provided in the supplemental file.

**In vivo glucose metabolism.** Glucose tolerance tests (2 g/kg glucose i.p.) in mice and euglycemic-hyperinsulinemic clamps in rats (insulin infusion  $0.25 \text{ units} \cdot \text{kg}^{-1} \cdot \text{h}^{-1}$ ) were conducted as previously described (9,10). Tissue triglyceride content was determined using a colorimetric assay kit (Roche Diagnostics, Indianapolis, IN).

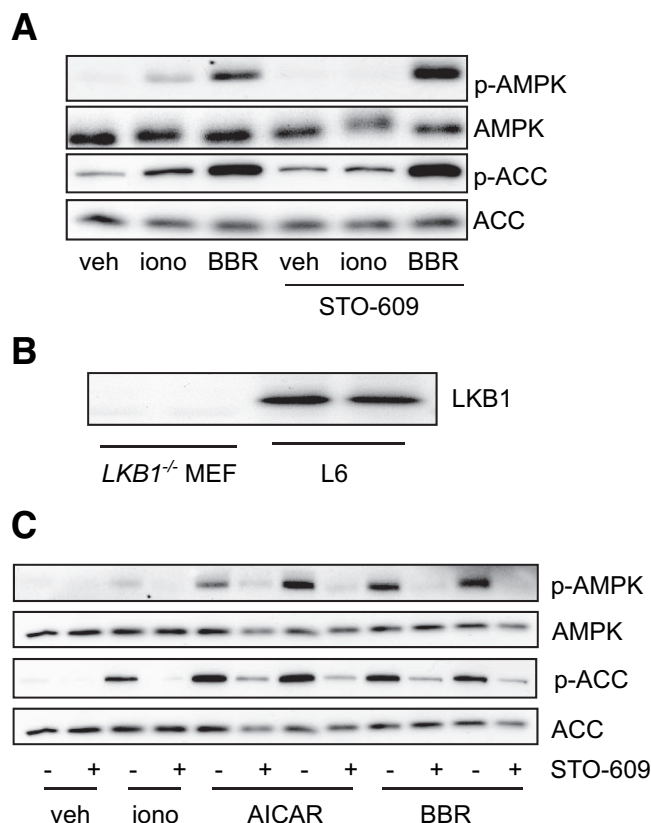
**Pharmacokinetic analysis.** Rats were fasted (12 h) and gavaged with 20 mg/kg BBR or dhBBR, and blood samples were obtained over the subsequent 24 h. Plasma concentrations of BBR and dhBBR were determined by liquid chromatography–tandem mass spectrometry (LC-MS/MS) (11).

**Statistical analysis.** Results are presented as means  $\pm$  SE. One-way ANOVA with Fisher's protected least-square difference post hoc test was used to assess statistical significance between groups. For respiration experiments, the effect of drug treatments are given as a percentage of intra-individual basal values (100%), with *P* values calculated by paired two-tailed *t* tests. *P*  $\leq 0.05$  was regarded as statistically significant.

## RESULTS

**Role of LKB1 and  $\text{Ca}^{2+}$ /calmodulin-dependent protein kinase kinase (CAMKK) in the action of BBR.** LKB1 and CAMKK $\beta$  are two important upstream kinases for AMPK. To determine if activation of AMPK by BBR involves either of these kinases, we first examined the effects of the relatively specific CAMKK inhibitor STO-609 (12) on BBR activation of AMPK in L6 myotubes. The  $\text{Ca}^{2+}$  ionophore ionomycin increased phosphorylation of AMPK and its downstream target ACC, and this effect was blocked by STO-609 (Fig. 1A). However, the increase in phosphorylation of AMPK and ACC by BBR was unaffected by STO-609, suggesting that CAMKK is not the major AMPK kinase regulated by BBR in L6 cells (Fig. 1A). To examine the role of LKB1 in the activation of AMPK by BBR, we used *LKB1*<sup>−/−</sup> MEFs (Fig. 1B). BBR caused a robust increase in AMPK and ACC phosphorylation in cells lacking LKB1, as did the AMPK activator AICAR (Fig. 1C). Interestingly, treatment of *LKB1*<sup>−/−</sup> MEFs with STO-609 blocked the activation of AMPK by ionomycin, 5-aminoimidazole-4-carboxamide-1- $\beta$ -D-ribofuranoside (AICAR), and BBR (Fig. 1C).

**BBR inhibits mitochondrial respiration.** We recently reported that BBR increases the AMP-to-ATP ratio in L6 myotubes (7). To investigate whether these changes in nucleotide levels may result from inhibition of cellular respiration, oxygen consumption was examined in L6 myotubes. BBR dose-dependently inhibited respiration in L6 myotubes, with an  $\sim 50\%$  inhibition at  $15 \mu\text{mol/l}$  (Supplementary Fig. 1). Metformin and rosiglitazone also dose-dependently inhibited respiration in L6 myotubes, with rosiglitazone displaying similar potency to BBR, while metformin was substantially less potent (Supplementary Fig. 1). We next examined whether the inhibitory effect of BBR was manifest at the level of the mitochondria. BBR produced a dose-dependent inhibition of oxygen consumption in isolated muscle mitochondria with complex I–I–



**FIG. 1.** Effect of CAMKK inhibition and LKB1 deficiency on the activation of AMPK by BBR. **A:** L6 myotubes were incubated for 30 min with vehicle (veh)  $1 \mu\text{mol/l}$  ionomycin (iono) or  $10 \mu\text{mol/l}$  BBR, with or without preincubation for 1 h with STO-609 ( $10 \mu\text{g/ml}$ ). **B:** LKB1 expression in L6 myotubes and *LKB1*<sup>−/−</sup> MEFs. **C:** *LKB1*<sup>−/−</sup> MEFs were incubated for 30 min with  $1 \mu\text{mol/l}$  ionomycin,  $2 \text{ mmol/l}$  AICAR, or  $10 \mu\text{mol/l}$  BBR, with or without preincubation for 1 h with STO-609 ( $10 \mu\text{g/ml}$ ). Cells were lysed in  $4 \times$  SDS sample buffer, and equal amounts of lysates were resolved by SDS-PAGE and immunoblotted with antibodies specific for LKB1, phospho-AMPK- $\alpha$  (Thr172), total AMPK- $\alpha$ , phospho-ACC (Ser79), and total ACC.

linked substrate (pyruvate), but did not have a substantial effect on complex II-linked respiration using succinate as the substrate (Fig. 2A). A similar inhibitory pattern was also observed in mitochondria for metformin and rosiglitazone (Fig. 2).

**dhBBR displays improved in vivo efficacy compared with BBR.** Aiming to improve the in vivo efficacy of BBR, we prepared a panel of BBR derivatives and initially tested the effects of these derivatives on glucose uptake and AMPK activation in L6 cells (7). Five of six derivatives had no effect on glucose uptake (Supplementary Fig. 2) or AMPK activation (data not shown). Strikingly, one derivative (dhBBR) displayed similar potency to BBR to stimulate both glucose uptake and AMPK (Supplementary Fig. 2), as well as inhibit respiration in myotubes (Supplementary Fig. 1) and mitochondria (Fig. 2B). A structure-based analysis (using Chemdraw ultra 10 software, <http://www.cambridgesoft.com/services>) suggested that dhBBR would likely display improved in vivo efficacy compared with BBR because of its higher logP value (logP: 3.88 for dhBBR and  $-0.92$  for BBR) (13), and hence its effects were examined in rodent models of insulin resistance.

In mice fed an HFD, treatment with dhBBR ( $100 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$ ) markedly reduced adiposity and improved glucose tolerance, compared with HFD controls (Fig. 3). At the same

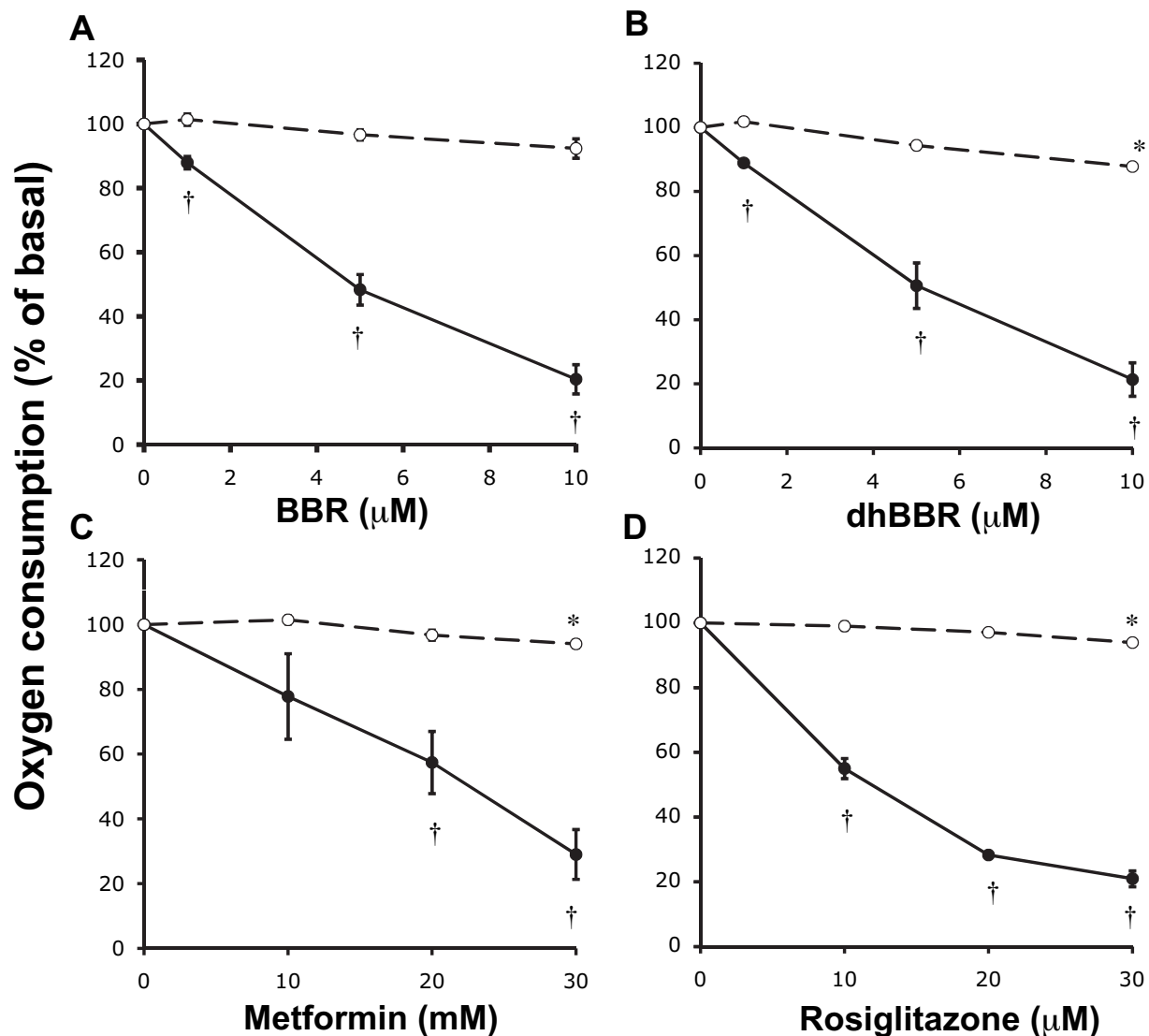


FIG. 2. Inhibition of oxygen consumption in isolated rat muscle mitochondria by BBR (A), dhBBR (B), metformin (C), and rosiglitazone (D). Oxygen consumption rates were measured in mitochondria at 37°C using substrate combinations targeting respiratory complex I (5 mmol/l pyruvate plus 2 mmol/l malate, ●) or complex II (10 mmol/l succinate plus 4 μmol/l rotenone, ○). Data represent means  $\pm$  SE ( $n = 3-6$  per group) and are expressed as a percentage of the basal value (100%). \* $P < 0.05$ , † $P < 0.01$  vs. basal levels.

dose, BBR had no effect on adiposity or glucose tolerance (Fig. 3), whereas at a dose of  $560 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$  (data not shown), we observed the expected effects of BBR (3).

In HFD rats, treatment with dhBBR resulted in reduced fat pad mass and tissue triglyceride levels compared with HFD controls (Table 1). Whole-body insulin sensitivity, measured as the glucose infusion rate during a hyperinsulinemic-euglycemic clamp, was 44% ( $P < 0.01$ ) higher in dhBBR-treated HFD rats compared with HFD controls, although it was not fully restored to control levels (Table 1). Using glucose tracers, we observed that improvements in whole-body insulin sensitivity in dhBBR-treated HFD rats were largely due to improved uptake of glucose into peripheral tissues, as evidenced by increased  $R_d$  and tissue-specific uptake of [ $^3\text{H}$ ]-2-deoxyglucose in several tissues (Table 1).

Pharmacokinetic analyses were conducted in rats to determine if enhanced oral bioavailability underpinned the improved in vivo efficacy of dhBBR over BBR. After oral administration of 20 mg/kg BBR, we were unable to detect BBR in the plasma. In contrast, dhBBR at the same oral

dose was rapidly detected in the plasma (Supplementary Fig. 2), displaying a half-life ( $t_{1/2}$ ) of  $3.5 \pm 1.3$  h and a maximum concentration ( $C_{\text{max}}$ ) of  $2.8 \pm 0.5$  ng/ml. Interestingly, in rats gavaged with dhBBR, BBR was also present in the plasma, displaying a longer  $t_{1/2}$  ( $9.6 \pm 2.1$  h,  $P < 0.05$ ) and a greater  $C_{\text{max}}$  ( $12.6 \pm 2.4$  ng/ml,  $P < 0.01$ ).

## DISCUSSION

Here we show that BBR has a similar effect to metformin and rosiglitazone to inhibit respiratory complex I, consistent with previous studies (14–18). These data highlight the importance of complex I as a diabetes target. Inhibition of complex I is likely the main mechanism by which BBR activates AMPK, since we did not observe selective activation of either CAMKK $\beta$  or LKB1 by BBR. These findings are consistent with a recent model proposing a major role for AMPK regulation at the level of the AMPK phosphatase in response to metabolic stress (19,20). Finally, we have also identified a novel BBR derivative that



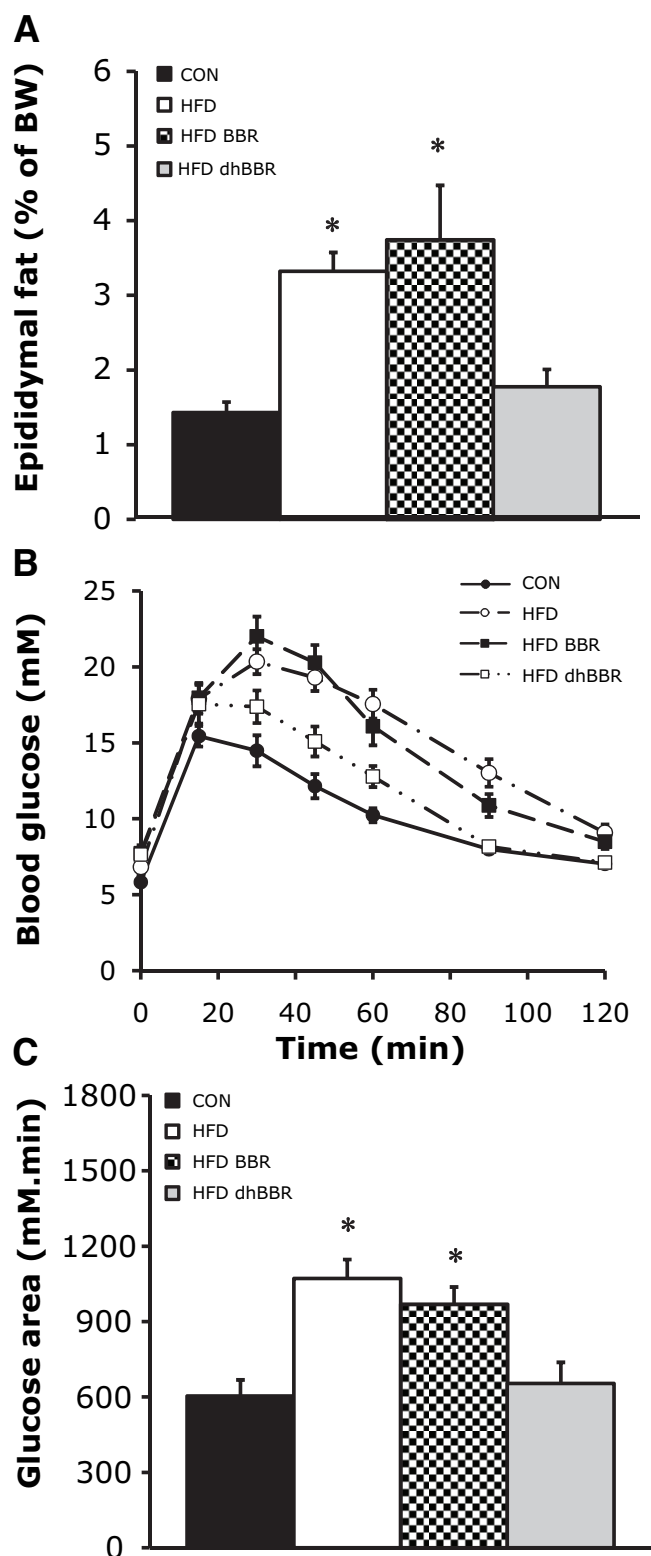


FIG. 3. Effect of BBR and dhBBR on epididymal fat mass and glucose tolerance in mice fed an HFD. BBR or dhBBR were provided in the HFD for 2 weeks at a dose of  $100 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$ . A: Epididymal fat mass expressed as a percentage of body mass. BW, body weight. B: Glucose levels during an intraperitoneal glucose tolerance test ( $2 \text{ g/kg}$ ). C: Incremental area under the glucose curve. Data represent the means  $\pm$  SE ( $n = 5\text{--}15$  mice per group). \* $P < 0.01$  vs. control (CON) and dhBBR.

displays improved in vivo efficacy, thus paving a path for future drug development in this area.

The use of  $LKB1^{-/-}$  MEFs and the CAMKK inhibitor STO-609 has provided novel insights into the actions of BBR. We observed robust activation of AMPK in  $LKB1^{-/-}$  MEFs by BBR, an effect that could be blocked by pretreatment with STO-609. Conversely in L6 myotubes, which express both LKB1 and CAMKK $\beta$ , we found that STO-609 was unable to block the activation of AMPK by BBR. These findings suggest that in response to BBR, AMPK can be activated via either LKB1 or CAMKK $\beta$ . We also observed activation of AMPK in  $LKB1^{-/-}$  MEFs by the adenosine analog, AICAR, with this effect also abrogated by STO-609. Recently, it was proposed that in addition to a direct allosteric effect, elevated AMP concentrations activate AMPK indirectly by preventing dephosphorylation of Thr172 by phosphatases (19,20). Hence, this model predicts a permissive and/or redundant role for upstream AMPK kinases in metabolic stress-induced AMPK activation, consistent with the present findings for BBR. Interestingly, metformin and rosiglitazone appear to act in an analogous manner (Fig. 2; 16–18). The therapeutic advantage of diverse compounds targeting respiratory complex I is not yet fully appreciated; however, it is noteworthy, in this context, that BBR and metformin have been observed to be beneficial for a broad spectrum of human diseases, including diarrhea, cancer, inflammation, and diabetes (2–4,21–24). We suspect that disturbances in cellular energy homeostasis may be an early event contributing to the therapeutic actions of these compounds.

A potential disadvantage of BBR as an in vivo compound for treatment of diabetes is that we (3) and others (14) have previously reported the need for relatively high doses in rodents to achieve beneficial metabolic effects. In this regard, our identification of a novel derivative that works with substantially improved potency to BBR is a major step forward. At  $100 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$ , dhBBR reduced adiposity and improved glucose tolerance in HFD mice, whereas we observed no effects of BBR at this dose. Furthermore, in HFD rats, the beneficial effects of dhBBR at  $100 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$  were of a similar magnitude to those previously reported for  $380 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$  of BBR (3). Examination of the structure of BBR reveals that it possesses an extremely flat configuration, which is likely to have limited absorption across the intestinal epithelia. However, derivatization to dhBBR was predicted to open up the structure, making it more amenable to uptake. Our pharmacokinetics data were consistent with this prediction, with dhBBR displaying improved absorption compared with BBR. Intriguingly, our data also revealed that once absorbed, dhBBR was rapidly converted back to BBR, highlighting the fact that this is likely the active moiety. These findings indicate that dhBBR is in essence an effective vehicle for delivering BBR to the circulation, substantially reducing the oral dose required for beneficial metabolic effects. As BBR has been shown to improve clinical symptoms in patients with type 2 diabetes (4) and dyslipidemia (5), and undesirable side effects have been reported for several popular anti-diabetic drugs (25), dhBBR represents an attractive potential therapy for the treatment of type 2 diabetes and other components of the metabolic syndrome.

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TABLE 1

Fat pad mass, tissue triglyceride levels, and metabolic parameters from hyperinsulinemic-euglycemic clamps in rats

	Control	HFD	HFD dhBBR
Body mass (g)	349 ± 7	367 ± 5*	361 ± 6
Epididymal fat pad (%)	1.05 ± 0.04	1.94 ± 0.11†	1.48 ± 0.10†§
Inguinal fat pad (%)	1.04 ± 0.07	2.28 ± 0.16†	1.79 ± 0.11†§
Muscle triglyceride (μmol/g)	3.0 ± 0.3	5.1 ± 1.0*	3.1 ± 0.2‡
Liver triglyceride (μmol/g)	4.8 ± 0.2	17.1 ± 1.9†	9.4 ± 1.4*
Glucose infusion rate (mg · kg <sup>-1</sup> · min <sup>-1</sup> )	40.2 ± 2.2	19.0 ± 0.4†	27.4 ± 1.9†§
R <sub>d</sub> (mg · kg <sup>-1</sup> · min <sup>-1</sup> )	38.4 ± 1.9	23.2 ± 0.5†	30.3 ± 0.6†§
Hepatic glucose output (mg · kg <sup>-1</sup> · min <sup>-1</sup> )	-1.7 ± 0.5	4.2 ± 0.6†	2.8 ± 1.7†
R <sub>g</sub> <sup>'</sup> : red gastrocnemius	35.6 ± 3.3	19.8 ± 1.7†	34.9 ± 7.9‡
R <sub>g</sub> <sup>'</sup> : white gastrocnemius	15.2 ± 2.7	5.7 ± 0.7†	8.5 ± 1.5*
R <sub>g</sub> <sup>'</sup> : epididymal fat	1.8 ± 0.2	1.3 ± 0.1	2.1 ± 0.4‡

Data are means ± SE ( $n = 5-12$  per group). dhBBR was provided in the HFD (100 mg · kg<sup>-1</sup> · day<sup>-1</sup>) for the final 2 weeks of high-fat feeding. Fat pad weights are expressed as a percentage of body mass. Plasma levels of glucose and insulin were similar among the three groups during the clamp (data not shown). R<sub>g</sub><sup>'</sup>, insulin-stimulated glucose uptake during the clamp (μmol/100 g · min). \* $P < 0.05$ , † $P < 0.01$  vs. control; ‡ $P < 0.05$ , § $P < 0.01$  vs. HFD.

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