

AD Hyper-IgE Syndrome Due to a Novel Loss-of-Function Mutation in *STAT3*: a Diagnostic Pursuit Won by Clinical Acuity

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Abbreviations

AD-HIES	Autosomal dominant hyper-IgE syndrome
iNKT	Invariant natural killer T cells
MAIT	Mucosal-associated invariant T cells
<i>STAT3</i>	Signal transducer and activator of transcription 3
cTfh	Circulating follicular helper T cells

To the Editor

Autosomal dominant hyper-IgE syndrome (AD-HIES) is a primary immunodeficiency characterized by severe eczema, elevated serum IgE levels, and increased susceptibility to infection with *Staphylococcus aureus* and *Candida albicans* [1]. Typical non-immunologic features include joint hyperflexibility, delayed shedding of deciduous teeth, fractures due to minor trauma, and vascular anomalies. AD-HIES is caused by dominant-negative mutations predominantly in the DNA binding and Src homology 2 (SH2) domain of signal transducer and activator of transcription 3 (*STAT3*) [1–5]. Previously named Job's syndrome, the syndrome took

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its name from the finding of marked elevation of polyclonal serum IgE. The STAT3-HIES clinical phenotype score in patients with elevated IgE serum levels (>1000 IU/mL) summarizes the main clinical and laboratory findings in AD-HIES (score >40) and facilitates early diagnosis of AD-HIES and thus initiation of appropriate therapy [6].

IgE levels vary in AD-HIES over time with lower levels in infancy, elevated levels in childhood, and declining levels in adults [1]. The pathophysiology of the elevated IgE is still incompletely understood: it is unclear whether the explanation lies in the requirement for STAT3 signaling in the B cell or in the T cells providing help to B cells in the antibody response [7]. Recent work by Kane et al. used conditional loss of STAT3 in B cells to show that deficiency of STAT3 in B cells alone recapitulates the aberrantly elevated IgE level, at least in the setting of a murine model of complete STAT3 deficiency [8]. Elevated serum IgE levels are neither essential nor sufficient for the diagnosis of AD-HIES as: (i) singular cases of AD-HIES with normal serum IgE in early childhood have been described and (ii) the finding of elevated serum IgE can lead to incorrect phenotypical diagnosis of AD-HIES [9, 10].

Numerous cellular defects have been reported in AD-HIES, and several of these contribute to disease pathogenesis. A lack of Th17 cells is central to the pathogenesis of candidiasis and perhaps staphylococcal infection in patients with AD-HIES [11]. Lack of IL-17-mediated immunity is key to the development of chronic mucocutaneous candidiasis and to a lesser extent recurrent staphylococcal infection in various PIDs [12–17]. Ma et al. demonstrated that signaling through STAT3 downstream of the IL23R is required for Th17 development [17]. Patients with LOF mutations in *STAT3* have reduced numbers of T follicular helper cells (Tfh) ex vivo as evidenced by decreased proportions of circulating CD4(+)CD45RO(+)CXCR5(+)T cells [7]. Moreover, Deenick and Tangye described reduced numbers of invariant Natural Killer T (iNKT) and mucosal-associated invariant T (MAIT) cells yet normal $\gamma\delta$ T cells in AD-HIES, pointing to a role for STAT3 signaling in the generation and/or maintenance of these innate-type T cells [18].

We present a molecular diagnosis of a missense mutation in *STAT3* in an 8-year-old boy with normal serum IgE levels. The report is compelling for several reasons. First, we describe a novel mutation in the DNA-binding domain of *STAT3*. Second, although the immunological and non-immunological phenotypes were explicit and severe, the absence of elevated IgE and the presence of autism led to a delay in diagnosis and treatment. Finally, we report the patient's normal numbers of iNKT cells and MAIT cells yet elevated $\gamma\delta$ TCR T cells expressing predominantly V δ 1. This report highlights the power of clinical acuity and the relevance of pursuing a molecular diagnosis even if the laboratory values do not add up.

Case Description

An 8-year-old boy born to non-consanguineous parents of Flemish descent was admitted with *S. aureus* sepsis and pleuropneumonia. His past medical history was noteworthy with severe eczema from birth and extensive diaper dermatitis. Other medical concerns included mucocutaneous candidiasis, frequent upper and lower respiratory tract infections from birth, gingivitis and stomatitis, and six episodes of typical febrile seizures prior to the age of 4. Extraction of three primary teeth was necessary to allow for normal eruption of permanent teeth. His wrist was fractured twice after minor trauma. He was diagnosed with autism-spectrum disorder at age 5. Because of autism with mild dysmorphic features, Beckwith-Wiedemann syndrome (OMIM 130650), Coffin-Lowry syndrome (OMIM 303600), and ATR-X syndrome (OMIM 300032) were excluded by Sanger sequencing.

Basic immunological work-up was normal (Table S1). Upon the first visit to the pediatric primary immunodeficiency clinic, AD-HIES was suspected. However, serum IgE level was normal at 180 IU/mL. More extensive analysis showed low switched memory B cells (compatible with but not specific for AD-HIES) and high CD3(+) $\gamma\delta$ TCR(+) T cells (+/- 20% of CD3(+) cells). The anti-polysaccharide antibody response to unconjugated pneumococcal vaccine was normal. The NIH score for HIES and STAT3-HIES were both 42 (probable AD-HIES) [1, 6]. Sanger sequencing of *STAT3* showed a heterozygous variant: g.40485745T>G resulting in the replacement of a highly conserved histidine amino acid at position 332 in the DNA-binding domain of STAT3 by proline (H332P; Figure S1, S2). This variant was not found in public databases. Polyphen2 score is 0.995 (probably damaging), and SIFT score is 0.051 (tolerated). CADD score (NC_000017.10 g.40485745T>G, NM_003150.3 c.995T>G) (Ensembl genome browser 75 public database; Assembly GRC37/hg19) is 25.9 and MSC for CADD is 15.290. The amino acid at position 332 is conserved throughout species (Figure S3). The substitution occurred de novo, as it was absent in both parents (data not shown).

We studied the functional impact of the H332P substitution. Tyrosine phosphorylation of STAT3 at position 705 after IL-21 stimulation was intact in the index patient (see Online Supplement for Materials and Methods; Figure S3). Binding of H332P STAT3 to DNA was decreased compared to the control; similar results were observed for STAT3 from patients with previously confirmed pathogenic mutations. Functionally, STAT3-dependent inhibition of LPS-induced TNF- α production mediated by IL-10 in monocyte-derived macrophages was abolished in the patient compared to a healthy control [6] (see Online Supplement for Materials and Methods; Fig. 1) confirming the LOF effect of the mutation. The impact of the p.H332P mutation on the crystal structure of STAT3 is shown in Fig. 2. Amino acid position 332 is situated

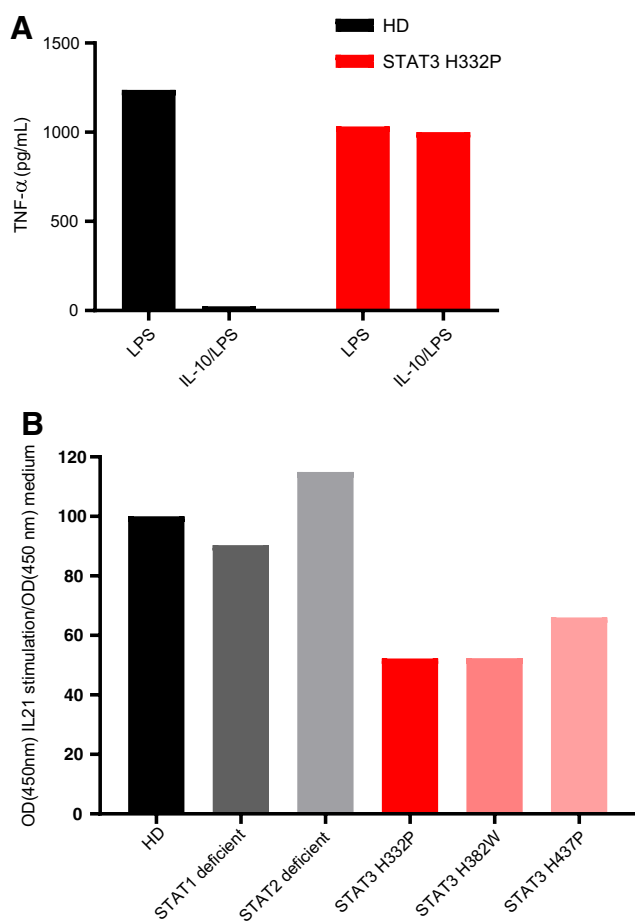


Fig. 1 Binding of H332P STAT3 to DNA (upper panel) and STAT3-dependent inhibition of LPS-induced TNF- α production (lower panel). **a** STAT3-dependent DNA-binding capacity of nuclear extracts as ratio of OD (450 nm) of IL21-stimulated condition over OD (450 nm) of the unstimulated condition for STAT3 WT LCL (control), STAT3 H332P LCL (patient), and two LCLs with confirmed STAT3 mutations in the DNA-binding domain (H382W and H437P) (TransAm STAT3). **b** STAT3 dependent IL-10-mediated inhibition of LPS-induced TNF- α production by monocyte-derived macrophages from control individuals (STAT3 WT, $n = 3$) and AD-HIES patient (H332P STAT3). Presented is the TNF- α release after LPS stimulation with or without addition of IL-10

in the core of the DNA binding domain in the vicinity of an asparagine residue at position 466, which binds the DNA sequence (Fig. 2a) [19]. The positively charged H332 forms a salt bridge with the negatively charged phosphate backbone of the DNA helix. The H332P mutation disrupts this salt bridge, thereby hampering the interaction with the DNA strand (Fig. 2b).

The percentage of IL-17A-producing CD4(+)CD45RA(−)T cells following *S. aureus* enterotoxin B stimulation was significantly decreased in the index patient and two additional STAT3 deficient AD-HIES patients with known pathogenic mutations compared to healthy donors ($p = 0.017$) (Fig. 3a, b). In IL-17A secretion after stimulation with PHA, heat-inactivated *C. albicans* and *S. aureus* was low (Fig. 3c, d) whereas IFN- γ secretion was normal. The percentage of Tfh cells was decreased in the index patient and in

confirmed AD-HIES patients compared to healthy individuals ($p = 0.024$, Fig. 3e). There was a predominance of V δ 1+ T cells (7.6% of CD3(+) cells) in the index patient (Fig. 3f) and he had normal numbers of iNKT cell and MAIT cells (Fig. 3g, h).

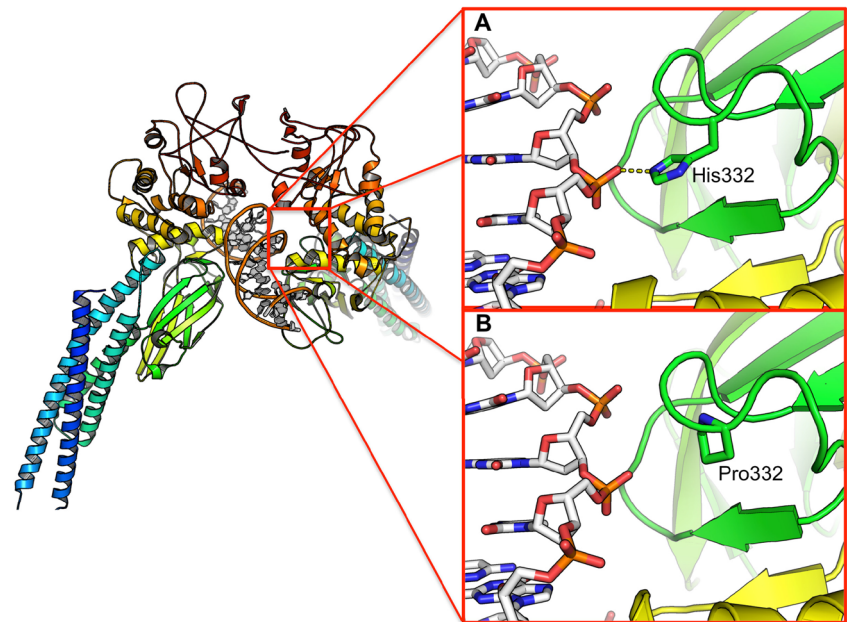
Discussion

This report highlights several aspects of PID in general and AD-HIES in particular. First, the infectious phenotype of the described patient was highly suggestive of a PID, specifically AD-HIES. Nevertheless, the patient only came to our attention after he had been admitted to a tertiary care hospital with *S. aureus* sepsis. The NIH score and adapted STAT3 HIES score were both predictive of a STAT3 mutation. We identified a novel mutation in the DNA-binding domain of STAT3 (H332P) and validated it by analyzing its effect on DNA binding and on cytokine production. Different amino acid substitutions at the same position have previously been reported (H332Y, H332L) [20]. This case highlights the high sensitivity of the clinical phenotype of AD-HIES to detect a STAT3 loss of function mutation, independent of the level of IgE. Especially in children, investigations for AD-HIES should not be delayed because of normal IgE. At least two other pediatric cases of AD-HIES with normal IgE have been described (2 months and 7 years of age) [10]. By the age of 8, both children had IgE levels above 1000 kU/L (personal communication, Chandesris and Picard). The cases reported with the H332Y and H332L STAT3 mutations had elevated IgE (>1000 kU/L) suggesting that the normal IgE in the index patient is not a feature of the novel mutation (personal communication, E Renner). The normal IgE in this report is therefore surprising and unexplained.

Second, the presence of autism and mild developmental delay combined with some dysmorphic features had led to referral to clinical genetics and multiple diagnostic Sanger sequencing runs, diverting attention from the obvious infectious phenotype. One patient with AD-HIES and autism has previously been reported [21–24]. Several PIDs are associated with developmental delay and autism-spectrum disorders, e.g., Di George syndrome, Coronin1A deficiency, and GATA2 deficiency [22–24]. In light of this especially in children, a diagnosis of autism and presence of dysmorphic features cannot be uncoupled from the infectious phenotype and a genetic diagnosis explaining the entire phenotype should be sought. This has important therapeutic implications: in the index case, adequate antibiotic and antifungal prophylaxis led to excellent control of infections with an important functional impact on the child's behavior both at school and at home.

Third, an essential role for STAT3 signaling in controlling unconventional T cell number and function was recently

Fig. 2 The influence of the H332P mutation on the crystal structure of STAT3 and its impact on DNA binding. The STAT3 dimer bound to DNA is represented as a cartoon (blue to red for N to C termini) on the left side. **a** depicts the zoom in on the wild-type structure in which the positively charged His332 binds the negative phosphate backbone of the DNA represented by the dashed yellow lines (salt bridge). **b** In the mutant structure, this salt bridge is lost, hampering the interaction with the DNA strand



identified [18]. The index patient has normal numbers of iNKT cells and MAIT cells. We identified another STAT3 deficient patient (G617V) with normal iNKT and MAIT cell numbers in our cohort (unpublished observation). However, another AD-HIES patient included as a positive control showed low numbers of these cells, in accordance with earlier

findings [18]. These findings stress the variability in unconventional T cell numbers in AD-HIES. Interestingly, the index patient has high numbers of Vδ1+ cells, which usually constitute a minority of blood γδ TCR T cells [25]. We hypothesize that the peripheral blood expansion of Vδ1+ T cells in this patient results from chronic infection with *Candida* sp.

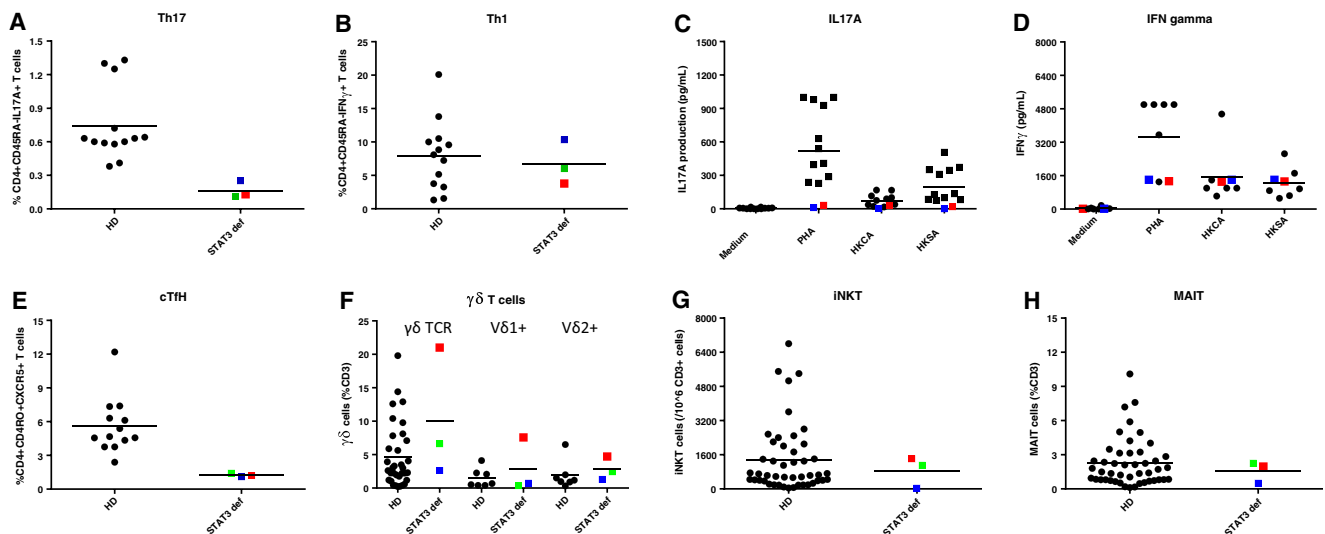


Fig. 3 Th17 cells, in vitro IL-17A production, cTfh and unconventional T cells in the index patient, and patients with AD-HIES due to confirmed LOF mutations in STAT3 and healthy donors. **a, b** Percentage IL-17A (**a**) and IFN-γ producing CD4(+) CD45RA(−) T cells (**b**) from healthy donors, not carrying mutations in STAT3 (black symbols; $n = 13$), the index patient (H332P, red symbol), and two AD-HIES patients with confirmed pathogenic LOF mutations in STAT3 [green symbol (G617V); blue symbol (Y705H)]. **c, d** IL-17A (**c**) and IFN-γ (**d**) production (pg/mL) by PBMC from healthy donors (black symbols; $n = 6–11$), compared to the index patient (red symbol) and one AD-HIES patient with confirmed pathogenic LOF mutation in STAT3 (Y705H (blue symbol)) after

stimulation with medium, phytohemagglutinin (PHA), heat-inactivated *Candida albicans* (HKCA) and heat-inactivated *S. aureus* (HKSA) as measured by ELISA. **e** Percentage of cTfh cells [CD4(+)CD45RO(+)CXCR5(+) T cells] from healthy donors ($n = 5$, WT) the index patient (H332P, red mark) and two AD-HIES patients with confirmed pathogenic LOF mutations in STAT3. **f** Flow cytometric quantification of γδ TCR T cells, Vδ1+ TCR T cells, and Vδ2+ TCR T cells. **g** Flow cytometric quantification of iNKT cells in the index patient, two patients with AD-HIES and healthy donors (HD) ($n = 46$). **h** Flow cytometric quantification of MAIT cells in the index patient, two patients with AD-HIES and healthy donors (HD) ($n = 46$)

Interestingly, the two other STAT3+/- patients had no documented or reported *Candida* infection. Two recent papers demonstrate that in HIV patients with candidiasis V δ 1+ T cells are important sources of IL-17 [26, 27]. More research is needed on the function of $\gamma\delta$ TCR T, MAIT, and iNKT cells in conditions of Th17 deficiency, especially since these unconventional T cells have been implicated in recognition of *S. aureus* and *C. albicans*, two key pathogens in AD-HIES.

In conclusion, we report a novel loss-of-function mutation in *STAT3* in a patient with a typical clinical phenotype of AD-HIES yet with normal serum IgE. Although elevated IgE is a hallmark of the disease, it is not essential for the diagnosis of AD-HIES. Moreover, the patient displays increased $\gamma\delta$ T cell numbers in the peripheral blood as well as normal NKT and MAIT cells adding to the mystery of unconventional T cells in monogenic PID syndromes. Finally, even in the era of next generation sequencing, clinical acuity sometimes prevails: in the absence of clear biochemical or immunological (in the sense of hematological) phenotype, the diagnostic pathway should be followed including molecular diagnosis if the clinical phenotype is strongly suggestive of a particular PID.

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Compliance with Ethical Standards

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Conflict of Interest The authors declare that they have no conflict of interest.

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