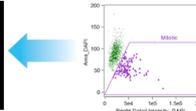
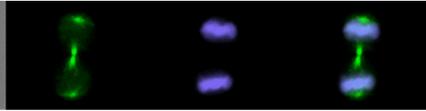
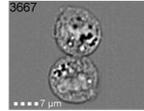




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## Inflammation and Lymphopenia Trigger Autoimmunity by Suppression of IL-2–Controlled Regulatory T Cell and Increase of IL-21–Mediated Effector T Cell Expansion

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# Inflammation and Lymphopenia Trigger Autoimmunity by Suppression of IL-2–Controlled Regulatory T Cell and Increase of IL-21–Mediated Effector T Cell Expansion

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The dynamic interplay between regulatory T cells ( $T_{\text{regs}}$ ) and effector T cells ( $T_{\text{effs}}$ ) governs the balance between tolerance and effector immune responses. Perturbations of  $T_{\text{reg}}$  frequency and function or imbalances in  $T_{\text{reg}}/T_{\text{eff}}$  levels are associated with the development of autoimmunity. The factors that mediate these changes remain poorly understood and were investigated in this study in murine autoimmune arthritis.  $T_{\text{regs}}$  displayed a stable phenotype in arthritic mice and were fully functional in *in vitro* suppression assays. However, their expansion was delayed relative to  $T_{\text{effs}}$  (T follicular helper cells and Th17 cells) during the early stages of autoimmune reactivity. This imbalance is likely to have led to insufficient  $T_{\text{reg}}$  control of  $T_{\text{effs}}$  and induced autoimmunity. Moreover, a counterregulatory and probably IL-7–driven increase in thymic  $T_{\text{reg}}$  production and recruitment to inflamed tissues was too slow for disease prevention. Increased  $T_{\text{eff}}$  over  $T_{\text{reg}}$  expansion was further aggravated by inflammation and lymphopenia. Both these conditions contribute to autoimmune pathogenesis and were accompanied by decreases in the availability of IL-2 and increases in levels of IL-21. IL-2 neutralization or supplementation was used to show that  $T_{\text{reg}}$  expansion mainly depended on this cytokine. IL-21R<sup>-/-</sup> cells were used to demonstrate that IL-21 promoted the maintenance of  $T_{\text{effs}}$ . Thus, at inflammatory sites in experimental arthritis, a deficit in IL-2 hampers  $T_{\text{reg}}$  proliferation, whereas exaggerated IL-21 levels overwhelm  $T_{\text{reg}}$  control by supporting  $T_{\text{eff}}$  expansion. This identifies IL-2 and IL-21 as targets for manipulation in therapies for autoimmunity. *The Journal of Immunology*, 2014, 193: 4845–4858.

**R**egulatory T cells ( $T_{\text{regs}}$ ) are essential for immune homeostasis and the maintenance of peripheral self-tolerance. Accordingly, perturbations of  $T_{\text{regs}}$  are associated with inflammatory (1) and autoimmune diseases (2).  $T_{\text{reg}}$  development and function is controlled by the transcription factor Foxp3. Its mutation or depletion results in fatal autoimmune lymphoproliferative disease in mice (3) and immunodysregulation polyendocrinopathy enteropathy X-linked syndrome in humans (4, 5). Thus, factors that adversely affect  $T_{\text{reg}}$  numbers, stability, func-

tion, or qualitative features and result in an imbalance of increased pathogenic effector T cells ( $T_{\text{effs}}$ ) over  $T_{\text{regs}}$  may contribute to the development of autoimmune disease (1, 2).

A negative correlation between  $T_{\text{reg}}$  numbers and/or function and human autoimmune rheumatoid arthritis (RA) has been shown in previous studies (6). Likewise, studies in murine models of RA clearly indicate a beneficial role for  $T_{\text{regs}}$  in reducing disease development and severity (7–9). In humans and mice,  $T_{\text{regs}}$  infiltrate the synovial tissue of inflamed joints, as well as secondary lymphoid organs, and display suppressor functions (9–13).

The protective role of  $T_{\text{regs}}$  has also been demonstrated in the well-defined murine K/BxN ([KRNtgXNODJF1] model of RA (11–13). K/BxN mice spontaneously develop arthritis at ~4 wk of age. A break of tolerance in this model (14) leads to the activation of KRNtg (KRN TCR transgenic C57BL/6) expressing CD4<sup>+</sup> T cells upon recognition of the autoantigen GPI that is presented in a complex with the MHC class II molecule Ag7. Interactions between KRNtg CD4<sup>+</sup> T cells and GPI-specific B cells then result in the production of anti-GPI-specific IgG1 autoantibodies, which trigger synovial inflammation (15–17).  $T_{\text{regs}}$  are also expanded in joints and lymphoid organs of arthritic K/BxN mice, proliferate well, and display full functionality in suppressing  $T_{\text{effs}}$  *in vitro* (11, 13, 14). Moreover, the absence of  $T_{\text{regs}}$  in K/BxN mice carrying a *scurfy* mutation led to a more rapid and aggressive arthritis (11). However, despite  $T_{\text{reg}}$  enrichment at sites of inflammation, and their full functionality, K/BxN mice still experience development of disease. This may be because numerical and/or functional imbalances within the  $T_{\text{reg}}$  and  $T_{\text{eff}}$  compartments tip the balance toward autoimmunity. This has been partially explained by increased  $T_{\text{eff}}$  resistance toward  $T_{\text{reg}}$ -mediated suppression, as well as shorter proliferative bursts and higher apoptosis rates in  $T_{\text{regs}}$  (13). However, the factors and molecular mechanisms that influence  $T_{\text{reg}}$  and  $T_{\text{eff}}$  homeostasis in an autoimmune setting are poorly under-

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The online version of this article contains supplemental material.

Abbreviations used in this article: GC, germinal center; i $T_{\text{reg}}$ , inducible  $T_{\text{reg}}$ ; KRNtg, KRN TCR transgenic C57BL/6; LN, lymph node; Nrp-1, neuropilin-1; n $T_{\text{reg}}$ , natural  $T_{\text{reg}}$ ; PD1, programmed cell death-1; PD-L1, PD1 ligand; PSGL1, P-selectin glycoprotein ligand 1; RA, rheumatoid arthritis; rm, recombinant mouse; SP, single-positive;  $T_{\text{eff}}$ , effector T cell;  $T_{\text{FH}}$ , T follicular helper cell;  $T_{\text{reg}}$ , regulatory T cell.

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stood. Inflammation and lymphopenia are prevalent in autoimmunity and may influence  $T_{reg}/T_{eff}$  stability, functionality, and homeostasis (18). Given that both these conditions are present in arthritic K/BxN mice (19, 20), we used this model to study whether and how inflammation and lymphopenia influence  $T_{reg}$  stability and function and  $T_{reg}/T_{eff}$  homeostasis, and modulate autoimmunity.

We observed full functionality of  $T_{regs}$  isolated from inflamed organs of arthritic mice in *in vitro* suppression assays and were able to exclude the possibility of an unstable  $T_{reg}$  phenotype that would result in a conversion of  $T_{regs}$  into  $T_{effs}$ . However, we also observed that  $T_{reg}$  expansion was delayed relative to  $T_{eff}$  expansion (T follicular helper cells [ $T_{FHs}$ ] and Th17 cells) in inflamed lymphoid tissues in early stages of disease. This likely resulted in acute unhindered  $T_{eff}$  activity, which may induce disease that, once initiated, rapidly becomes uncontrolled. Inflammation and lymphopenia in arthritic mice exacerbated this delay and further increased  $T_{eff}$  proliferation. Arthritic mice also had decreased availability of IL-2 and increased levels of IL-21. Neutralization and supplementation with IL-2 showed that  $T_{reg}$  expansion was dependent on this cytokine. Transfer of wild-type and IL-21R<sup>-/-</sup> KRNtg  $T_{effs}$  and  $T_{regs}$  in various combinations showed that IL-21R<sup>-/-</sup> deficiency mainly affected  $T_{eff}$  maintenance. Increases in thymic IL-7 and CD127 expression on thymic Foxp3<sup>+</sup> single-positive (SP) CD8<sup>-</sup>CD4<sup>+</sup> T cells indicated that enhanced  $T_{reg}$  frequencies may be because of increased IL-7-mediated thymic output and recruitment to sites of inflammation, where  $T_{regs}$ , however, fail to expand and exert their suppressive function effectively.

## Materials and Methods

### Mice

KRNtg mice were obtained from D. Mathis and C. Benoist (Harvard Medical School, Boston, MA), CD28<sup>-/-</sup>.CD45.1 mice from C. Vinuesa (Australian National University, Canberra, ACT, Australia), and B6.H-2g7/g7 mice were purchased from The Jackson Laboratory, NOD/ShiLJArc and CD45.1 congenic C57BL/6 (B6.SJL/ptpr<sup>c</sup>) mice were obtained from the Animal Resources Centre (Perth, Australia), IL-21R<sup>-/-</sup> mice from C. King (Garvan Institute of Medical Research), and Foxp3.gfp mice from C. Vinuesa. IL-21R<sup>-/-</sup>.KRNtg and Foxp3.gfp KRNtg mice were generated by crossing IL-21R<sup>-/-</sup> and Foxp3.gfp mice with KRNtg mice, respectively. CD28<sup>-/-</sup>.Ag7<sup>+/-</sup> (congenic for CD45.1) mice were generated by crossing CD28<sup>-/-</sup>.CD45.1 mice with B6.H-2g7/g7 mice. Crossing KRNtg with NOD/Lt mice generates either KRNtg-expressing arthritic K/BxN (KRNtgNOD)F1 mice or KRNtg<sup>-</sup> and healthy littermates (BxN). Crossing B6.SJL/ptpr<sup>c</sup> with NOD mice generates BxN.45.1 mice (B6.SJL/ptpr<sup>c</sup> × NOD)F1. Foxp3.gfp KRNtg mice were bred to NOD mice to generate Foxp3.gfp K/BxN mice; because Foxp3 is on the X chromosome, only male Foxp3.gfp K/BxN mice were analyzed. When indicated, some of the strains were further crossed with B6.SJL/ptpr<sup>c</sup> mice to generate CD45.1 or CD45.1.2 congenic mice. Genotypes were assessed by genomic PCR or FACS. Experiments were approved by the Garvan-St. Vincent's and the Monash Animal Ethics Committees.

### Adoptive transfer experiments and injections

Cell suspensions were prepared from pooled spleens and lymph nodes (LNs). CD4<sup>+</sup> T cells and subsets were isolated to a purity of >98% by negative selection using MACS microbeads (Miltenyi Biotec) according to the manufacturer's instructions followed by FACS sorting. Purified CD4<sup>+</sup> T cells were injected *i.v.* into recipient mice as outlined in the respective experiments. Neutralizing anti-IL-2 Abs (clone S4B6.1; WEHI, Melbourne) were injected *i.p.* at a concentration of 1 mg at day 0 of adoptive transfer; 250 μg neutralizing anti-programmed cell death-1 (anti-PD1; RMP1-14) and anti-PD1 ligand (anti-PD-L1; MIH5) Abs were injected *i.p.* at 0, 12, and 36 h after adoptive transfer; 2 μg recombinant mouse IL-2 (mIL-2; Peptotech) was injected *i.p.* at -12, 0, 12, 24, and 36 h after adoptive transfer; 20 μg mIL-21 (Peptotech) was injected *i.p.* at 2, 4, 6, 8, 10, and 12 d after adoptive transfer; and 100 μg LPS was injected *i.p.* in BxN.45.1 mice.

### Arthritis scoring

Measurement of arthritis development was done as previously described (21). In brief, clinical severity of arthritis was assessed every 1–2 d for all 4 paws on a scale from 0 to 3 and indicated as cumulative score: 0, normal;

1, erythema, swelling limited to individual digits or mild ankle swelling insufficient to reverse the normal V shape of the foot; 2, swelling sufficient to make the ankle and midfoot approximate in thickness to the forefoot; 3, reversal of the normal V shape of the foot, swelling of the entire paw including multiple digits.

### Flow cytometry

Cells were collected from crushed spleen, peripheral LNs (pool of inguinal, brachial, axillary, and cervical LNs), or thymus. For intracellular cytokine and nuclear Foxp3 staining, cells were fixed and permeabilized with Foxp3 Fix/Perm Buffer Set (eBioscience) according to the manufacturer's instructions. Intracellular cytokine expression was detected after restimulation of cells with 50 ng/ml PMA and 1 μg/ml ionomycin (Sigma-Aldrich) in the presence of brefeldin A and monensin (eBioscience). Cells were analyzed with BD LSRII and FACSCanto II. The following Abs were used: anti-CD4 V450 (BD), anti-CD4 PE-Cy7 (Biolegend), anti-CD103 FITC (BD), anti-CD25 PE-Cy7 (Biolegend), anti-Foxp3 allophycocyanin/FITC (eBioscience), anti-CD45.2 V450 (BD), anti-CD45.1 allophycocyanin-eFluor 780 (eBioscience), anti-CD162 PE (BD), anti-CD62L FITC (BD), anti-PD1 PE (eBioscience), anti-CXCR5 Biotin (BD), anti-streptavidin PerCP-Cy5.5 (eBioscience), anti-IgG1 FITC (BD), anti-CD45R/B220 allophycocyanin-eFluor 780 (eBioscience), anti-GL7 (T and B cell activation Ag) FITC (BD), anti-CD95 PE (BD), anti-CD196 (CCR6) allophycocyanin (BD), anti-IL-17 PE (BE), anti-IL-2 PE (eBioscience), anti-IL-21 biotin (R&D), streptavidin allophycocyanin (BD), anti-neuropilin-1 (anti-Nrp-1) allophycocyanin (R&D), anti-CD127 allophycocyanin (Biolegend), and anti-CD8 PerCP (BD).

### Anti-GPI IgG1 ELISA

Anti-GPI IgG1 levels were determined in serum by ELISA as previously described (22).

### Real-time quantitative PCR

Total RNA was extracted using TRIzol reagent (Invitrogen), and the QuantiTect Reverse Transcription Kit (Qiagen) was used for cDNA synthesis according to the manufacturer's instructions. Quantitative real-time PCR was performed using an ABI Prism 7900HT Real-Time PCR system (Applied Biosystems). For each sample, mRNA abundance was normalized to the amount of the housekeeping gene *Gapdh* and results expressed as arbitrary units.

### Cell culture and suppression

FACS-purified T cell subsets were cultured in RPMI 1640 medium supplemented with 1% (v/v) L-glutamine, 1% (v/v) nonessential amino acids, 1% (v/v) sodium pyruvate, 1% (v/v) penicillin (10,000 U) and streptomycin (10,000 U; all from Life Technologies), 10% (v/v) FBS (Hyclone), and 55 μM 2-ME (Life Technologies).  $T_{effs}$  were stained with CellTrace Violet Compound (Invitrogen) and 50,000  $T_{effs}$  cocultured with  $T_{regs}$  at indicated ratios in the presence of 200,000 irradiated feeder splenocytes from NOD mice and anti-CD3 (clone 145-2C11, 2 μg/ml). Cell proliferation was determined 4 d later by FACS analysis.

### Immunoblot

For immunoblot analysis, nuclear extracts of thymi were prepared with NE-PER Nuclear and Cytoplasmic Extraction reagents (Thermo Scientific) according to the manufacturer's instructions. Samples were analyzed by SDS-PAGE and transferred to a nitrocellulose membrane that was probed with either anti-histone 2B (Millipore, Billerica, MA) or anti-NF-κB p65 (Cell Signaling). The membranes were then probed with HRP-conjugated secondary Ab and developed with Western Lightning chemiluminescence reagent (Perkin Elmer, Boston, MA).

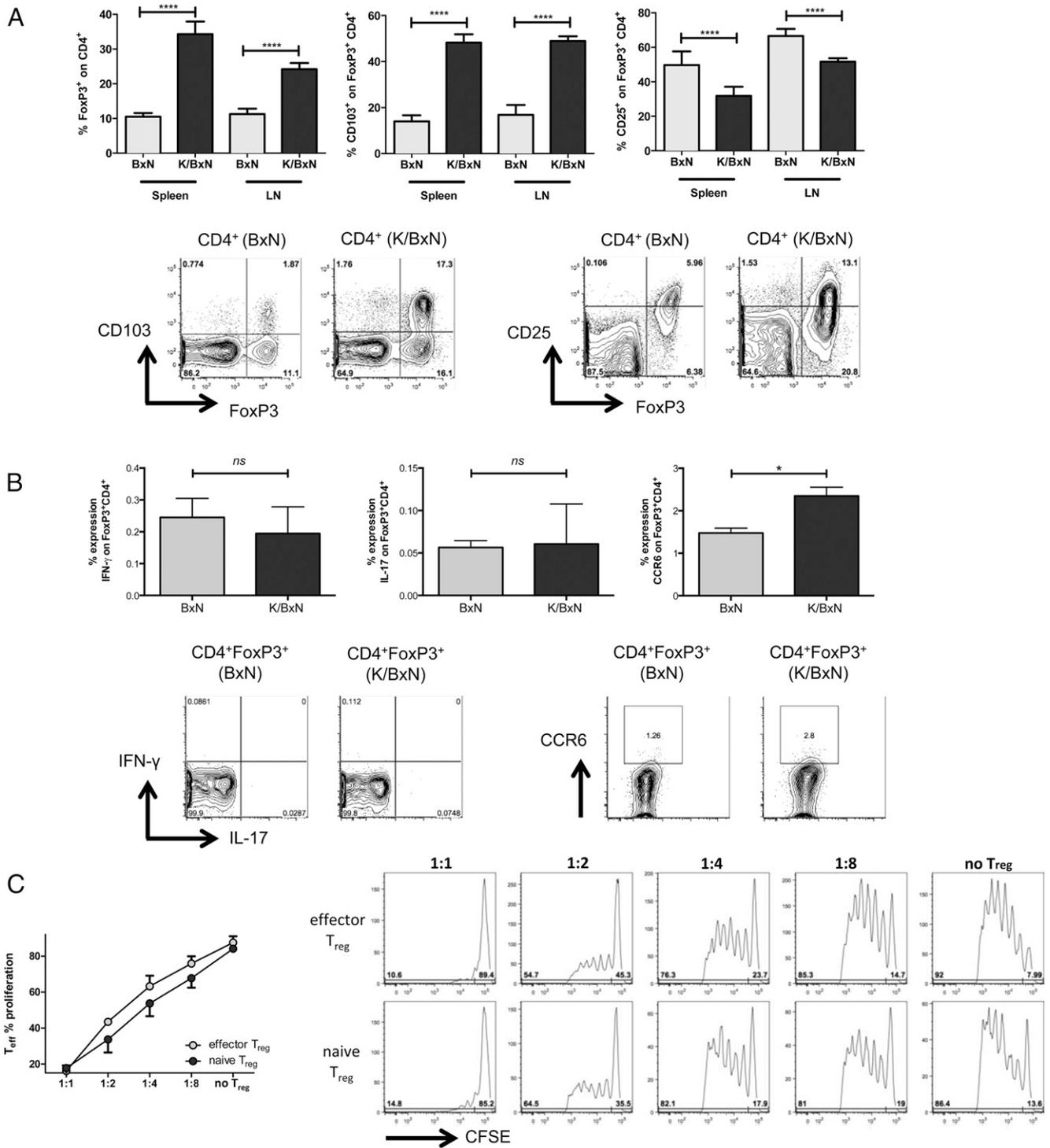
### Statistics

Statistical significance was determined by calculating *p* values using an unpaired *t* test on InStat software (GraphPad Software, San Diego, CA). All data are means ± SD and are representative of at least two independent experiments. The *p* values ≤0.05 were considered significant (\**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.001, \*\*\*\**p* < 0.0001).

## Results

### Effector/memory $T_{regs}$ are increased in arthritic mice and display full functionality in *in vitro* suppression assays

The concept that  $T_{regs}$  represent a stable T cell lineage has recently been challenged by several studies and remains controversial (23,



**FIGURE 1.**  $T_{reg}$ s are increased in secondary lymphoid organs of arthritic K/BxN mice and are fully functional in in vitro suppression assays. **(A)** FACS analysis showing percentages of Foxp3 expression in  $CD4^+$  T cells and of CD103 or CD25 on Foxp3 $^+$ CD4 $^+$  T cells in spleens and LNs of 8-wk-old arthritic K/BxN mice ( $n = 7$  mice) compared with nonarthritic KRNtg $^-$  (BxN) littermates ( $n = 5$  mice). **(B)** FACS analysis showing percentages of IFN- $\gamma$ , IL-17, and CCR6 expression on Foxp3 $^+$ CD4 $^+$  T cells in spleens of 8-wk-old arthritic K/BxN mice ( $n = 4$  mice) compared with nonarthritic KRNtg $^-$  (BxN) littermates ( $n = 4$  mice). **(C)**  $T_{eff}/T_{reg}$  in vitro suppression assays of naive versus effector  $T_{reg}$ s. Naive Foxp3.gfp $^-$  KRNtg $^-$   $T_{eff}$ s were isolated from lymphoid organs of Foxp3.gfp KRNtg mice (purity >98%). Cells (50,000) were incubated with either naive (isolated from Foxp3.gfp KRNtg mice) or effector (isolated from Foxp3.gfp K/BxN mice) Foxp3.gfp $^+$  KRNtg  $T_{reg}$ s at a ratio of 1:1, 1:2, 1:4, and 1:8, or without  $T_{reg}$ s (no  $T_{reg}$ ) for 4 d in the presence of 2  $\mu$ g/ml anti-CD3 mAbs and 200,000 irradiated NOD splenocytes. Proliferation of Foxp3.gfp $^-$  KRNtg  $T_{eff}$ s was measured by FACS using violet cell proliferation tracer. Displayed are the percentage of proliferating cells and representative FACS plots. \* $p < 0.05$ , \*\*\*\* $p < 0.0001$ .

24). Conditions such as lymphopenia and inflammation have been suggested to drive  $T_{reg}$  instability and their conversion into  $T_{eff}$ s (i.e., Th17 and  $T_{FH}$ s) (23–25). We investigated whether an unstable  $T_{reg}$  phenotype and conversion into  $T_{eff}$ s could explain why

increased numbers of  $T_{reg}$ s in arthritis are unable to prevent the development of disease.

We first confirmed that  $T_{reg}$  frequencies are increased in secondary lymphoid organs (spleen and peripheral LNs) of arthritic

K/BxN mice compared with  $KRNtg^{-}$  nondiseased BxN littermates (Fig. 1A). Higher expression of CD103 was found on arthritic K/BxN compared with naive BxN  $Foxp3^{+} T_{regs}$ , which indicates that they were effector/memory-like  $T_{regs}$  (Fig. 1A) (26). Interestingly, arthritic K/BxN  $T_{regs}$  had a higher proportion of  $CD25^{-} Foxp3^{+} T_{regs}$  (Fig. 1A). This subset was recently reported to be less stable in a lymphopenic environment (23, 27). Moreover, it was shown previously that a proportion of  $CD25^{lo} Foxp3^{+}$  cells lose  $Foxp3$  expression and acquire a pathogenic Th17 phenotype within the inflammatory milieu in arthritis (2). We therefore compared nonarthritic with arthritic  $T_{regs}$  in BxN and K/BxN mice, respectively. In arthritic  $T_{regs}$  we did not find increased IL-17 or  $IFN-\gamma$  expression (Fig. 1B), whereas CCR6 was increased (Fig. 1B).

To examine the functionality of arthritic versus nonarthritic  $T_{regs}$ , we isolated  $Foxp3.gfp^{+} CD4^{+}$  cells from arthritic  $Foxp3.gfp$  K/BxN and naive  $Foxp3.gfp$   $KRNtg$  mice, respectively, and used them in suppression assays. As shown in previous studies (13), arthritic effector  $T_{regs}$  were as efficient as naive nonarthritic  $T_{regs}$  in suppressing  $T_{eff}$  proliferation (Fig. 1C).

#### $T_{regs}$ display a stable phenotype

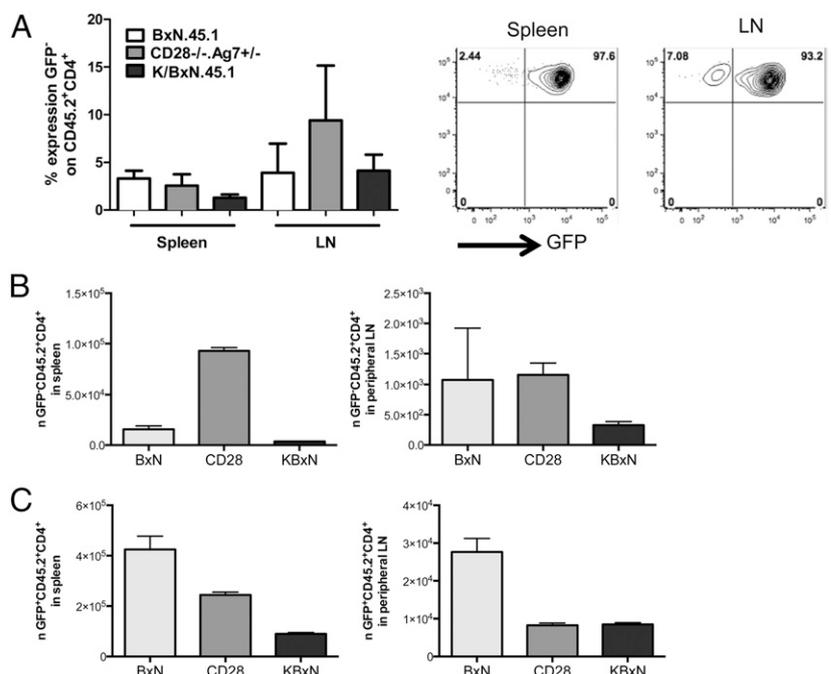
To address whether  $T_{regs}$  are unstable and can readily convert into  $T_{effs}$ , we performed adoptive transfer experiments of  $Foxp3.gfp^{+} CD4^{+}$  cells isolated from  $Foxp3.gfp$   $KRNtg$  mice. Because lymphopenia and inflammation have been shown to influence  $T_{reg}$  stability, we assessed whether this occurs in arthritis by using the following recipient animals: (i) lymphoreplete naive BxN.45.1 mice, (ii) quasi-lymphopenic  $CD28^{-/-}.Ag7^{+/-}$  mice, and (iii) inflammatory arthritic K/BxN.45.1 mice. Mice were sacrificed 14 d after transfer, and adoptively transferred cells were examined for expression/downregulation of GFP in spleen and LN. Under all conditions, a small fraction (1.27–9.44%) of transferred  $Foxp3.gfp^{+} KRNtg$   $T_{regs}$  had become  $GFP^{-}$ . The greatest frequency of  $GFP^{-}$  cells was found in the LN of quasi-lymphopenic  $CD28^{-/-}.Ag7^{+/-}$  mice (Fig. 2A, 2B). Moreover, the examination of absolute numbers of recovered  $T_{regs}$  showed that  $T_{reg}$  expansion is generally hampered in the respective lymphopenic and/or inflammatory milieu of  $CD28^{-/-}$  and K/BxN mice (Fig. 2C).

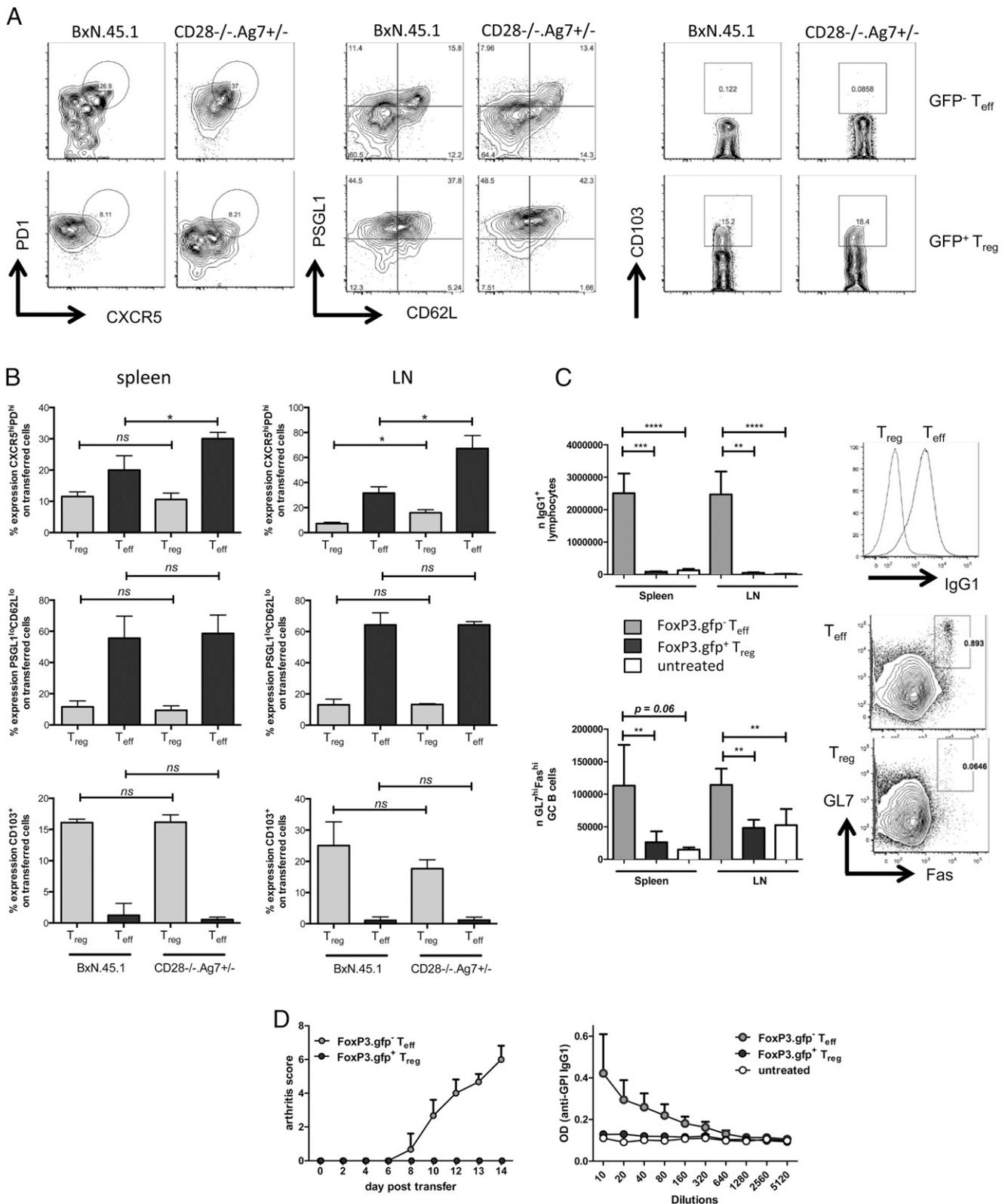
We next addressed whether  $Foxp3.gfp^{+} T_{regs}$  were able to adopt a  $T_{eff}$  (P-selectin glycoprotein ligand 1 [PSGL1]<sup>lo</sup>, CD62L<sup>lo</sup>, PD1<sup>hi</sup>, CXCR5<sup>hi</sup>) or effector/memory  $T_{reg}$  (CD103) phenotype and to exert  $T_{eff}$  function (germinal center [GC] formation, anti-GPI IgG1 production, and arthritis development). Highly purified  $Foxp3.gfp^{-} KRNtg$   $T_{effs}$  or  $Foxp3.gfp^{+} KRNtg$   $T_{regs}$  from  $Foxp3.gfp$   $KRNtg$  mice were transferred into BxN.45.1 or  $CD28^{-/-}.Ag7^{+/-}$  recipients. CD103 was almost exclusively upregulated on transferred  $Foxp3.gfp^{+} KRNtg$   $T_{regs}$  (Fig. 3A, 3B). In contrast, only transferred  $Foxp3.gfp^{-} KRNtg$   $T_{effs}$  displayed significant downregulation of CD62L and PSGL1, and higher levels of the  $T_{FH}$  markers CXCR5 and PD1 (Fig. 3A, 3B). That CXCR5 and PD1 were also expressed on some  $Foxp3.gfp^{+} KRNtg$   $T_{regs}$  is in accordance with the recent description of a subset of follicular  $T_{regs}$  sharing  $T_{FH}$  phenotypic characteristics (28). Apart from CXCR5 and PD1 expression,  $T_{regs}$  or  $T_{effs}$  displayed a comparable phenotype when transferred into  $CD28^{-/-}.Ag7^{+/-}$  or BxN.45.1 mice (Fig. 3A, 3B). Generally, in  $CD28^{-/-}.Ag7^{+/-}$  mice, a greater proportion of transferred  $T_{effs}$  adopted a CXCR5<sup>hi</sup>PD1<sup>hi</sup>  $T_{FH}$  phenotype (Fig. 3A, 3B). Adoptively transferred  $Foxp3.gfp^{+} KRNtg$   $T_{regs}$  did not induce an autoaggressive B cell response (GL7<sup>hi</sup>Fas<sup>hi</sup> GC B cells and IgG1 class-switched B cells; Fig. 3C), anti-GPI IgG1, or the development of arthritis (Fig. 3D). Collectively, our data show that a small subset of cells may have converted from  $T_{regs}$  to  $T_{effs}$  in arthritis but did not induce an autoaggressive B cell response. The proportion of converted cells among transferred  $T_{regs}$ , if conversion did indeed occur, is likely to be too small to induce disease.

#### $T_{reg}$ conversion is likely an artifact

We next assessed whether the observed conversion of small numbers of  $T_{regs}$  into  $T_{effs}$  may have been, as hypothesized recently (24), simply an artifact due to the outgrowth of a small number of contaminating non- $T_{regs}$ . This seems feasible because it is impossible to sort  $GFP^{+} CD4^{+}$  T cells to a purity of 100%. Thus, we adoptively transferred highly purified  $CD45.1.2^{+} Foxp3.gfp^{-} KRNtg$   $T_{effs}$  together with  $CD45.2^{+} Foxp3.gfp^{+} KRNtg$   $T_{regs}$  into  $CD45.1^{+}$  BxN.45.1 recipients at a ratio of 4:1 ( $CD45.1.2^{+} GFP^{-} : CD45.2^{+} GFP^{+}$ ). Replicate groups of mice received ~99% pure

**FIGURE 2.** Most  $T_{regs}$  display a stable phenotype. (A–C)  $Foxp3.gfp^{+} KRNtg$   $CD4^{+} T_{regs}$  were FACS purified (purity >98%) from  $Foxp3.gfp$   $KRNtg$  mice, and  $0.4 \times 10^6$  cells were transferred into BxN.45.1,  $CD28^{-/-}.Ag7^{+/-}$ , or K/BxN.45.1 mice. After 14 d, GFP expression was examined on transferred  $CD4^{+} CD45.2^{+}$  cells by FACS in spleen and LN ( $n = 3$ –4 recipient mice per group per experiment). Displayed are (A) the percentage of  $GFP^{-}$  cells of transferred  $CD45.2^{+} CD4^{+}$  cells, (B) the number of recovered  $GFP^{-} CD45.2^{+} CD4^{+}$  cells per lymphoid organ(s), and (C) the number of recovered  $GFP^{+} CD45.2^{+} CD4^{+}$  cells per lymphoid organ(s).





**FIGURE 3.** T<sub>regs</sub> neither convert into T<sub>effs</sub> nor exert T<sub>eff</sub> function. **(A–D)** Foxp3.gfp<sup>+</sup> T<sub>regs</sub> and Foxp3.gfp<sup>-</sup> T<sub>effs</sub> were FACS purified (purity >98%) from lymphoid organs of Foxp3.gfp KRNtg mice and 0.4 × 10<sup>6</sup> cells transferred into BxN.45.1 or CD28<sup>-/-</sup>.Ag7<sup>+/-</sup> recipient mice. **(A and B)** After 14 d, the expression of CD103, CD62L, PSGL1, CXCR5, and PD1 was determined on transferred CD45.2<sup>+</sup>CD4<sup>+</sup> cells in spleen and LN. Displayed are FACS plots from transferred cells recovered from spleen **(A)** and graphs **(B)** with the percentage of CXCR5<sup>hi</sup>PD1<sup>hi</sup>, PSGL1<sup>lo</sup>CD62L<sup>lo</sup>, and CD103<sup>+</sup> expression on transferred CD45.2<sup>+</sup>CD4<sup>+</sup> cells in spleen and LN. Also determined were **(C)** the frequencies of IgG1 switched B cells and GL7<sup>hi</sup>Fas<sup>hi</sup> GC B cells by FACS analysis in spleen and LN of BxN.45.1 mice that received either 0.4 × 10<sup>6</sup> purified T<sub>regs</sub> or T<sub>effs</sub> and **(D)** arthritis scores measured for 14 d after transfer, as well as serum titers of anti-GPI IgG1 determined using ELISA (n = 3–5 recipient mice/group). \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001.

CD45.2<sup>+</sup> Foxp3.gfp<sup>+</sup> KRNtg or ~94% pure CD45.2<sup>+</sup> Foxp3.gfp<sup>+</sup> KRNtg cells. Four days after transfer,  $T_{effs}$  had proliferated to a greater extent than  $T_{regs}$  (2.49 and 4.33 times more in spleen and LN, respectively) as determined by the ratio of CD45.1.2<sup>+</sup> Foxp3.gfp<sup>-</sup> KRNtg  $T_{effs}$ :CD45.2<sup>+</sup> Foxp3.gfp<sup>+</sup> KRNtg  $T_{regs}$ , compared with day 0 (Table I and Supplemental Fig. 1A, 1B). Mathematically the increased proliferation rate of  $T_{effs}$  making up ~1 or 6% of CD45.2<sup>+</sup> Foxp3.gfp<sup>+</sup> KRNtg  $T_{regs}$  would translate into a frequency of ~2.5 or ~15% CD45.2<sup>+</sup> GFP<sup>-</sup> cells in spleen and ~4.3 or ~26% CD45.2<sup>+</sup> GFP<sup>-</sup> cells in LN. These values were close to the actual percentage of CD45.2<sup>+</sup> GFP<sup>-</sup> cells determined in spleen (~4.5 ± 0.36 or ~16.1 ± 2.28%) and LN (~5.8 ± 0.91 or ~29.1 ± 3.46%) by FACS. This shows that a small population of outgrowing non- $T_{regs}$  can proliferate and be misinterpreted as unstable and converted  $T_{regs}$ . Thus, these results suggest that the contamination of GFP<sup>-</sup> cells could account for the observed unstable phenotype of  $T_{regs}$ . Conversion of  $T_{regs}$  into  $T_{effs}$  is not supported by our data and does not explain why numerically expanded  $T_{regs}$  are unable to prevent the development of arthritis.

#### *T<sub>eff</sub> (T<sub>FH</sub> and Th17) differentiation and proliferation precedes T<sub>reg</sub> expansion*

Although there is an increase in  $T_{regs}$  in arthritic 8-wk-old K/BxN mice (Fig. 1A), it is possible that a  $T_{reg}/T_{eff}$  imbalance resulting in a numerical  $T_{eff}$  superiority may occur at presymptomatic stages and induce the development of disease. Thus, we examined the induction of  $T_{regs}$  (CD4<sup>+</sup>Foxp3<sup>+</sup> cells) and  $T_{effs}$  (CCR6<sup>+</sup>IL-17<sup>+</sup> Th17 cells and CXCR5<sup>hi</sup> PD1<sup>hi</sup> T<sub>FH</sub>) during different stages of disease development in arthritic K/BxN mice.

$T_{regs}$  and  $T_{effs}$  (T<sub>FH</sub> and Th17 cells) were assessed: (i) when they arrive in the periphery at 17 d of age and as clonal depletion becomes incomplete; (ii) in prearthritic mice at 22 d and when peripheral CD4<sup>+</sup> T cells in spleen and LN appear in significant numbers; (iii) during acute arthritis and robust inflammation at 5 wk; (iv) through transition from acute into chronic arthritis at 8 wk; and (v) during chronic arthritis at 12 wk of age (20).  $T_{reg}$  frequencies remained the same (spleen) or were reduced (LN) at day 17 during disease onset, and increases at both sites only occurred from day 22 (Fig. 4A, 4B, and Supplemental Fig. 2A, 2B). From then  $T_{reg}$  frequencies plateaued. In line with  $T_{reg}$  frequencies, CD103 upregulation on Foxp3<sup>+</sup> CD4<sup>+</sup> T also occurred from day 22 (Fig. 4C). In contrast,  $T_{effs}$  (Th17 and T<sub>FH</sub> cells) were markedly increased at both 17 and 22 d in spleen and LN, and then plateaued from 5 wk of age compared with healthy BxN mice (Fig. 4A, 4B, and Supplemental Fig. 2A, 2B). These results show that at early preclinical disease stages, altered  $T_{reg}/T_{eff}$  ratios result in  $T_{eff}$  superiority.

We next assessed whether delayed  $T_{reg}$  compared with  $T_{eff}$  expansion would also occur in adoptively transferred KRNtg CD4<sup>+</sup>

T cells and how the proliferation of  $T_{effs}$  is influenced by a lymphopenic environment. To do this, we injected naive KRNtg CD4<sup>+</sup> T cells containing ~10% naive Foxp3<sup>+</sup>  $T_{regs}$  into lymphoreplete BxN.45.1 (Fig. 4D, 4E, and Supplemental Fig. 2C) or quasi-lymphopenic CD28<sup>-/-</sup>.Ag7<sup>+/-</sup> mice (Fig. 4F and Supplemental Fig. 2D), and examined  $T_{eff}$  (T<sub>FH</sub> and Th17 cells) and  $T_{reg}$  differentiation at 4, 8, and 14 d after transfer. Th17 differentiation was barely detectable in adoptively transferred cells (data not shown); therefore, we focused on T<sub>FH</sub> differentiation (CXCR5<sup>hi</sup>PD1<sup>hi</sup>). As in K/BxN mice, increases in  $T_{regs}$  and CD103<sup>+</sup>  $T_{regs}$  were not observed until later (day 8) in BxN.45.1 mice, whereas T<sub>FH</sub>s increased early (day 4; Fig. 4D, 4E, and Supplemental Fig. 2C). The discrepancy between  $T_{reg}$  and T<sub>FH</sub> expansion was even more dramatic in quasi-lymphopenic CD28<sup>-/-</sup>.Ag7<sup>+/-</sup> mice (Fig. 4F, Supplemental Fig. 2D), which may partly account for the observed more severe disease course (Supplemental Fig. 2E). Contrary to truly lymphopenic mice (RAG<sup>-/-</sup>, CD3e<sup>-/-</sup> mice), CD28<sup>-/-</sup> mice have normal lymphoid cellularity and tissue organization. Nevertheless, it is likely that transferred TCR-responsive CD4<sup>+</sup> T cells behave in a similar way when transferred into quasi-lymphopenic CD28<sup>-/-</sup> mice or truly lymphopenic animals. Lack of competition by TCR-unresponsive endogenous CD4<sup>+</sup> T cells, reduced IL-2 levels, and a general  $T_{reg}$  defect (29, 30) may account for a generally more vigorous expansion of transferred Foxp3<sup>-</sup>  $T_{effs}$  over  $T_{regs}$  in CD28<sup>-/-</sup> mice.

Thus, decreased  $T_{reg}$  compared with  $T_{eff}$  (T<sub>FH</sub> and Th17 cells) expansion was observed during early disease stages in K/BxN mice, as well as in adoptive transfer experiments, and was exaggerated under quasi-lymphopenic conditions. We propose that an increased autoreactive  $T_{eff}$  to  $T_{reg}$  expansion during early disease results in the uncontrolled outgrowth and activity of  $T_{effs}$  that dominate  $T_{regs}$ , and is sufficient to tip the balance of control and induce autoimmunity. The delayed increase of  $T_{regs}$  may then only suppress, but no longer contain, disease progression.

#### *Arthritic inflammation attenuates T<sub>eff</sub> and T<sub>reg</sub> proliferation with T<sub>regs</sub> affected to a greater extent*

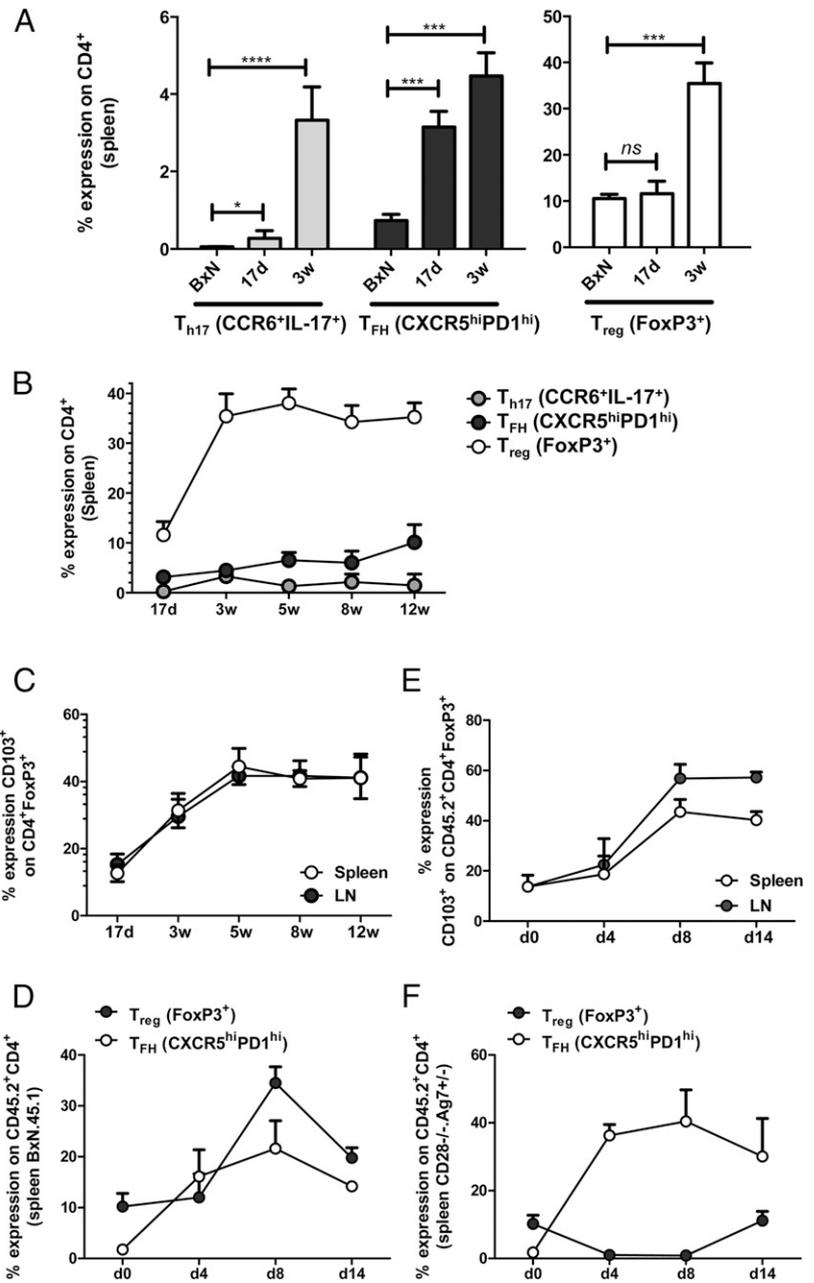
Although  $T_{reg}$  expansion was delayed at early disease stages compared with  $T_{effs}$ , the frequencies of both cell types were still substantially increased in secondary lymphoid organs of arthritic K/BxN compared with nondiseased BxN mice (Fig. 1A). Thus, we next examined whether and how the inflammatory milieu might influence  $T_{eff}$  and  $T_{reg}$  homeostasis. CD4<sup>+</sup> T cells were isolated from KRNtg mice, CFSE-labeled, and adoptively transferred into naive BxN.45.1 or arthritic (i.e., inflamed) 6-wk-old K/BxN.45.1 recipient mice, and proliferation was assessed 60 h later. The proliferation of both  $T_{effs}$  and  $T_{regs}$  was substantially reduced on transfer into arthritic K/BxN mice (Fig. 5A–C). However,  $T_{regs}$  were more affected than  $T_{effs}$  (Fig. 5A, 5B, 5D).

Table I.  $T_{reg}$  conversion is likely an artifact

	CD45.1.2 <sup>+</sup> T <sub>eff</sub> :CD45.2 <sup>+</sup> T <sub>reg</sub> <sup>a</sup>			% GFP <sup>-</sup> /CD45.2 <sup>+</sup> <sup>b</sup>			
	Ratio: Day 0		Proliferation Increase: Day 0 versus Day 4	~99% Pure CD45.2 <sup>+</sup> GFP <sup>+</sup>		~94% Pure CD45.2 <sup>+</sup> GFP <sup>+</sup>	
	Ratio: Day 4	Calculation		Actual (Mean/SD)	Calculation	Actual (<ean/SD)	
Spleen	4.1	10.24027	2.497627	2.497627	4.565 (±0.3680014)	14.985762	16.175 (±2.281858)
LN	4.1	17.77311	4.334905	4.334905	5.855 (±0.9178916)	26.00943	29.175 (±3.462207)

<sup>a</sup>CD45.1.2<sup>+</sup> Foxp3.gfp<sup>-</sup> KRNtg  $T_{eff}$  and CD45.2<sup>+</sup> Foxp3.gfp<sup>+</sup> KRNtg  $T_{regs}$  were mixed at a ratio of 4:1 (CD45.1.2<sup>+</sup>T<sub>eff</sub>/CD45.2<sup>+</sup>T<sub>reg</sub>) (day 0) and transferred into BxN.45.1 recipients. The ratio of CD45.1.2<sup>+</sup>T<sub>eff</sub>/CD45.2<sup>+</sup>T<sub>reg</sub> was determined at day 4. Comparison of  $T_{eff}/T_{reg}$  ratio at day 4 to day 0 shows that  $T_{regs}$  had proliferated to a lesser extent than  $T_{effs}$  (2.49 and 4.33 times less in spleen and peripheral LN, respectively).

<sup>b</sup>One group of mice had received ~99% pure CD45.2<sup>+</sup>  $T_{regs}$ , another group ~94% pure CD45.2<sup>+</sup>  $T_{regs}$  in the following mixed adoptive transfer experiments. Considering the increased proliferation rate of  $T_{eff}$  (2.49 in spleen and 4.33 in LN), a contamination of CD45.2<sup>+</sup>  $T_{regs}$  with ~1 or ~6%  $T_{eff}$  translates into a calculated frequency of ~2.5 or ~15% CD45.2<sup>+</sup> GFP<sup>-</sup> cells in spleen and ~4.3 or ~26% CD45.2<sup>+</sup> GFP<sup>-</sup> cells in LN at day 4. Indicated are actual percentages of CD45.2<sup>+</sup> GFP<sup>-</sup> cells determined in spleen (~4.5 ± 0.36 or ~16.1 ± 2.28%) and LN (~5.8 ± 0.91 or ~29.1 ± 3.46%) at day 4. Data are means ± SD. Data are representative of two independent experiments (n = 4 mice/group).

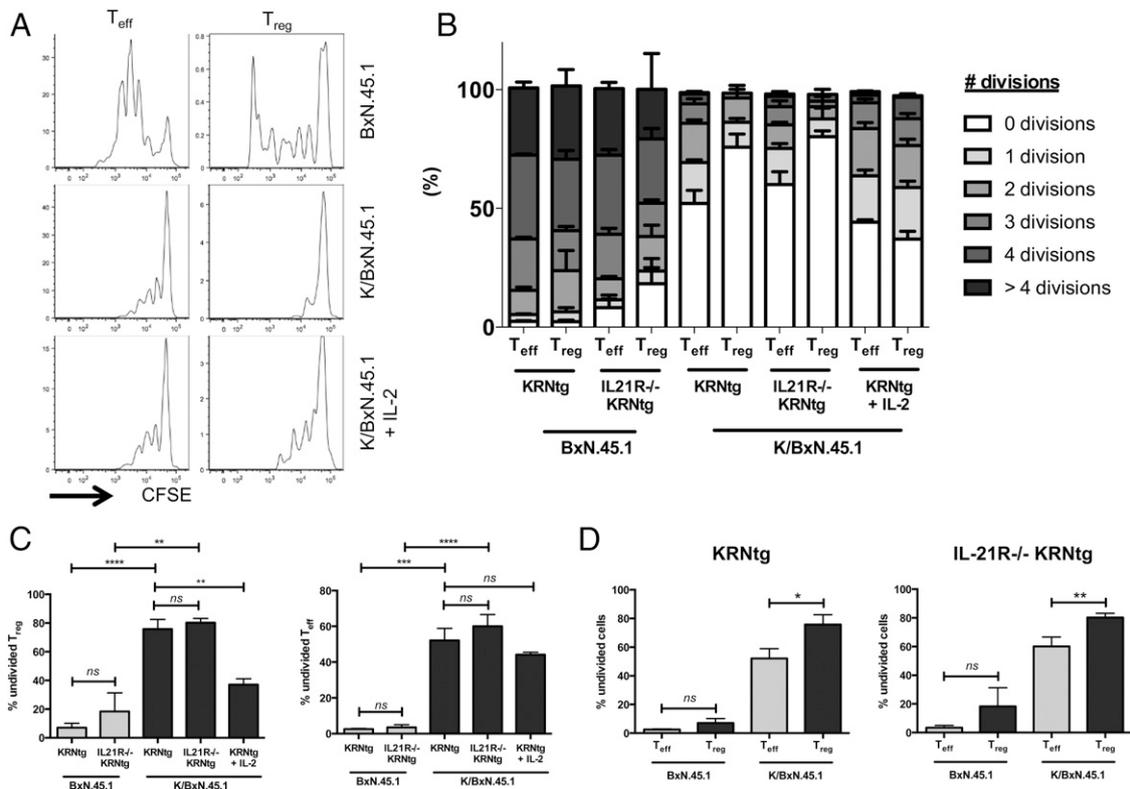


**FIGURE 4.**  $T_{reg}$ s proliferate and expand less rapidly than  $T_{eff}$ s ( $T_{FH}$  and  $T_{H17}$  cells). **(A)** FACS analysis of the percentages of  $CCR6^{+}IL-17^{+}$ ,  $CXCR5^{hi}PD1^{hi}$  and  $Foxp3^{+}$  expression on  $CD4^{+}$  T cells in spleens of 17d and 22d old arthritic K/BxN mice compared with naive 8w old BxN mice ( $n \geq 5$  mice per group). **(B and C)** FACS analysis of the percentages of  $CCR6^{+}IL-17^{+}$ ,  $CXCR5^{hi}PD1^{hi}$  and  $Foxp3^{+}$  expression on  $CD4^{+}$  T cells (B), and of  $CD103^{+}$  on  $Foxp3^{+}CD4^{+}$  T cells (C) in spleens and LN of 17d, 22d, 5w, 8w and 12w old arthritic K/BxN mice ( $n \geq 5$  mice per group). **(D–F)** KRNtg  $CD4^{+}$  cells were isolated from lymphoid organs of KRNtg mice (purity >98%) and  $1.5 \times 10^6$  KRNtg  $CD4^{+}$  T cells were adoptively transferred into BxN.45.1 mice (D and E) or  $0.75 \times 10^6$  KRNtg  $CD4^{+}$  T cells into  $CD28^{-/-}.Ag7^{+/+}$  recipients (F) ( $n = 4-5$  recipient mice per group). Expression of  $Foxp3^{+}$  and  $CXCR5^{hi}PD1^{hi}$  on transferred  $CD45.2^{+}$  T cells (D and F), and of  $CD103^{+}$  on transferred  $CD45.2^{+}Foxp3^{+}$  T cells (E) were determined in spleens and LN at 4, 8, and 14 d after transfer. Phenotypes of naive KRNtg  $CD4^{+}$  T cells before transfer (day 0) are also displayed. \* $p < 0.05$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

*IL-2 augmentation supports  $T_{reg}$  proliferation in the arthritic inflammatory milieu, and neutralization of IL-2 results in more severe disease*

We then assessed the role of IL-2 within the inflamed milieu, because this cytokine is particularly required for  $T_{reg}$  homeostasis in the periphery (31). Its availability may be decreased during inflammation and lymphopenia, which would account for the reduced  $T_{reg}/T_{eff}$  proliferation and particularly the inhibition of  $T_{reg}$  expansion in inflamed tissues. We first examined IL-2 expression by splenocytes of arthritic K/BxN compared with healthy BxN mice (Fig. 6A). IL-2 levels displayed a trend toward decreased expression by arthritic K/BxN  $CD4^{+}$  T cells as examined by FACS and quantitative PCR on sorted  $CD4^{+}$  T cells (Fig. 6A). We were unable to detect IL-2 in serum or plasma of arthritic K/BxN or nonarthritic BxN mice by ELISA. In view of the lymphopenia (Fig. 6B) and reduced IL-2 expression by  $CD4^{+}$  T cells in arthritic K/BxN mice (Fig. 6A), an IL-2 deficit appears, however, to be conceivable. To further assess the effects of IL-2 on early  $T_{eff}$  and

$T_{reg}$  proliferation, we transferred CFSE-labeled KRNtg  $CD4^{+}$  T cells into arthritic K/BxN.45.1 mice treated with  $2 \mu g$  rmIL-2 at -12, 0, 12, 24, and 36 h. Treatment of recipient mice markedly increased the proliferation of  $T_{regs}$  and, to a lesser extent,  $T_{eff}$ s (Fig. 5A–C). We next assessed whether IL-2 availability could also influence the disease course. KRNtg  $CD4^{+}$  T cells were adoptively transferred into nonarthritic BxN.45.1 recipients that were treated with 1 mg neutralizing anti-IL-2 Abs at day 0 (clone S4B6.1). In accordance with the favorable effects of IL-2 on  $T_{reg}$  proliferation, neutralization of IL-2 resulted in more severe disease (Fig. 6C). Unfortunately, we were unable to directly mitigate disease courses in K/BxN mice by injection of rIL-2 (data not shown). This may be the result of a whole range of explanations, including suboptimal dose and route of administration, the IL-2 not reaching the correct sites or cells, or that disease in K/BxN mice was too strong to be reversed with this treatment. Additional studies will be required to explore these issues. Regardless, IL-2 promotes  $T_{reg}$  proliferation and the suppression of arthritis.



**FIGURE 5.** IL-2 and IL-21 modulate  $T_{reg}/T_{eff}$  homeostasis in arthritic K/BxN mice. **(A–C)** KRNtg or IL-21R<sup>-/-</sup> KRNtg CD4<sup>+</sup> cells were isolated from lymphoid organs of KRNtg or IL-21R<sup>-/-</sup> KRNtg mice (purity >98%). Adoptive transfer of  $1.5 \times 10^6$  CFSE-labeled KRNtg CD4<sup>+</sup> T cells or IL-21R<sup>-/-</sup> KRNtg CD4<sup>+</sup> T cells into BxN.45.1 or K/BxN.45.1 recipient mice ( $n = 3–5$  recipient mice per group). Where indicated, recipient mice received 2  $\mu$ g rIL-2 at  $-12, 0, 12, 24,$  and  $36$  h. Proliferation of transferred CD45.2<sup>+</sup>CD4<sup>+</sup> cells was determined 60 h after transfer by FACS. Shown are **(A)** representative FACS plots of KRNtg CD4<sup>+</sup> T cells transferred into BxN.45.1 and in K/BxN.45.1 mice with and without IL-2 treatment; **(B)** the percentages of cells undergoing 0, 1, 2, 3, 4, or >4 cycles of proliferation; **(C)** percentages of undivided Foxp3<sup>+</sup>CD45.2<sup>+</sup>CD4<sup>+</sup>  $T_{regs}$  or Foxp3<sup>-</sup>CD45.2<sup>+</sup>CD4<sup>+</sup>  $T_{effs}$  after transfer into the different recipients (BxN.45.1 and K/BxN.45.1 mice  $\pm$  IL-2 treatment); and **(D)** comparison of the percentage of undivided Foxp3<sup>+</sup>CD45.2<sup>+</sup>CD4<sup>+</sup>  $T_{regs}$  to the percentage of undivided Foxp3<sup>-</sup>CD45.2<sup>+</sup>CD4<sup>+</sup>  $T_{effs}$  after transfer into BxN.45.1 and K/BxN.45.1 mice. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

However, its levels are reduced in arthritis and, therefore, other pathways presumably account for the increased numbers of  $T_{regs}$  in this disease model.

#### Increased IL-21 dysregulates $T_{reg}/T_{eff}$ homeostasis in arthritic K/BxN mice by supporting $T_{eff}$ expansion

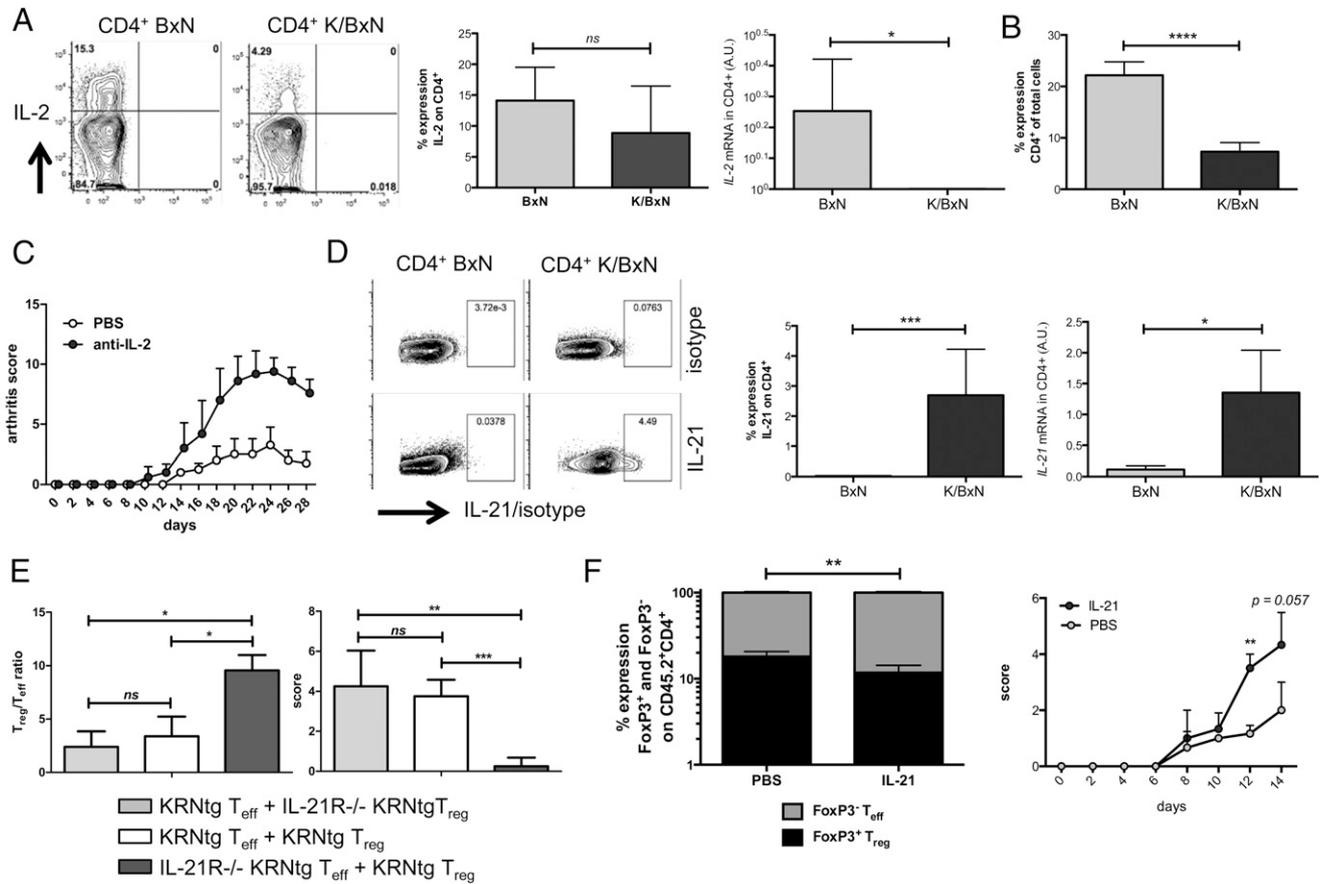
In contrast with IL-2, IL-21 levels may be increased because of the infiltration of the main IL-21 producers,  $T_{FH}$ , into inflamed organs of K/BxN mice (Fig. 4A, 4B) (32). This cytokine is important for  $T_{eff}$  homeostasis in K/BxN mice (19), and it has inhibitory effects on  $T_{reg}$  function (33–37). Thus, the role of IL-21 in  $T_{eff}$  and  $T_{reg}$  homeostasis in arthritis was investigated. We found that IL-21 expression by splenocytes of arthritic K/BxN mice was increased compared with healthy BxN mice as examined by FACS and quantitative PCR on sorted CD4<sup>+</sup> T cells (Fig. 6D). To further assess the effects of IL-21, we adoptively transferred CFSE-labeled IL-21R<sup>-/-</sup> Foxp3<sup>+</sup> and Foxp3<sup>-</sup> KRNtg CD4<sup>+</sup> T cells into nonarthritic BxN.45.1 and arthritic K/BxN.45.1 mice, and the proliferation of both transferred cell types was examined 60 h later (Fig. 5A–C). IL-21R deficiency had minimal effects on the proliferation of both transferred Foxp3<sup>-</sup>  $T_{effs}$  and Foxp3<sup>+</sup>  $T_{regs}$  (Fig. 5A–C). We then assessed whether IL-21 could modulate the maintenance of  $T_{effs}$  or  $T_{regs}$ , rather than early proliferation.  $T_{effs}$  and  $T_{regs}$  were sorted from CD45.2<sup>+</sup> IL-21R<sup>-/-</sup> KRNtg and CD45.1.2<sup>+</sup> KRNtg mice as CD25<sup>-</sup>CD4<sup>+</sup>  $T_{effs}$  and CD25<sup>hi</sup>CD4<sup>+</sup>  $T_{regs}$ , respectively, because these lines were not backcrossed on Foxp3.gfp reporter mice. We then transferred KRNtg  $T_{effs}$  and IL-

21R<sup>-/-</sup> KRNtg  $T_{regs}$ , KRNtg  $T_{effs}$  and KRNtg  $T_{regs}$ , or IL-21R<sup>-/-</sup> KRNtg  $T_{effs}$  and KRNtg  $T_{regs}$  (1:10  $T_{reg}/T_{eff}$  ratio) into BxN.45.1 mice. After 14 d posttransfer there was a marked increase in the  $T_{reg}/T_{eff}$  ratio among transferred cells in mice that had received IL-21R<sup>-/-</sup>  $T_{effs}$  compared with other groups (Fig. 6E). In accordance with this, these animals also displayed minimal disease (Fig. 6E). That IL-21 influences disease progression by modulation of  $T_{reg}$  and  $T_{eff}$  homeostasis was further supported by the observation that injection of IL-21 into BxN.45.1 mice transferred with KRNtg CD4<sup>+</sup> T cells triggered disease. This was accompanied by increases in the percentage of recovered Foxp3<sup>-</sup>  $T_{effs}$  at the expense of Foxp3<sup>+</sup>  $T_{regs}$  (Fig. 6F).

Thus, we observed that the opposing regulation of IL-2 and IL-21 within inflamed tissue combines to impair  $T_{reg}$  proliferation and increase  $T_{eff}$  maintenance, which promoted arthritis.

#### PD1–PD-L1 axis does not play a major role in $T_{eff}$ or $T_{reg}$ expansion

Because IL-2 does not fully reconstitute hampered  $T_{reg}/T_{eff}$  proliferation and IL-21R deficiency only slightly affected the early proliferation of  $T_{effs}$  and  $T_{regs}$ , other factors within the inflammatory milieu may influence their expansion. Recently, PD1 and PD-1 ligand (PD-L1) have been described as negative regulators of  $T_{reg}$  function (38). In chronically infected hepatitis C virus patients,  $T_{regs}$  expressed higher levels of PD1 than  $T_{effs}$ ; moreover, PD-L1 levels were increased at inflammatory sites. This inhibited  $T_{reg}$  expansion to a greater extent than  $T_{eff}$  ex-



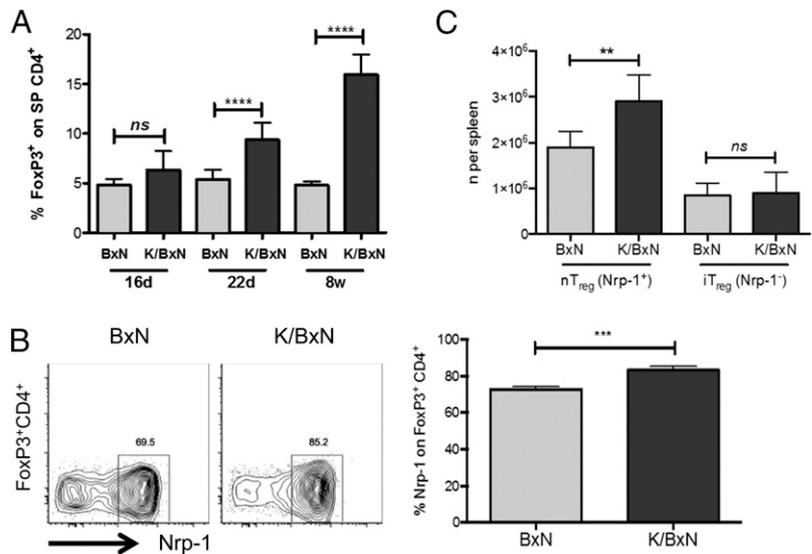
**FIGURE 6.** IL-2 and IL-21 modulate  $T_{reg}/T_{eff}$  homeostasis in arthritic K/BxN mice. **(A)** Expression of IL-2 on/in  $CD4^+$  T cells in spleens of 8-wk-old healthy BxN and arthritic K/BxN mice ( $n = 5-6$  mice/group) determined by FACS and quantitative PCR. **(B)** Percentage of  $CD4^+$  T cells among total cells in spleen of 8-wk-old healthy BxN and arthritic K/BxN ( $n > 5$  mice/group) determined by FACS. **(C)** KRNtg  $CD4^+$  cells were isolated from lymphoid organs of KRNtg mice (purity  $>98\%$ ), and  $1.5 \times 10^6$  KRNtg  $CD4^+$  T cells were adoptively transferred into BxN.45.1 recipient mice ( $n = 5$  recipient mice), treated with 1 mg neutralizing anti-IL-2 Ab (clone S4B6.1) or PBS at day 0. Arthritis scores were measured for 28 d after transfer. **(D)** Expression of IL-21 on/in  $CD4^+$  T cells in spleen of 8-wk-old healthy BxN and arthritic K/BxN mice ( $n = 5-6$  mice per group) determined by FACS and quantitative PCR. **(E)**  $T_{eff}$ s and  $T_{regs}$  were isolated from lymphoid organs of  $CD45.2^+$  IL-21R $^{-/-}$  KRNtg and  $CD45.1.2^+$  KRNtg mice, and sorted as  $CD25^{hi}CD4^+$   $T_{regs}$  and  $CD25^-CD4^+$   $T_{eff}$ s, respectively (purity  $>98\%$ ). KRNtg  $T_{eff}$  plus IL-21R $^{-/-}$  KRNtg  $T_{reg}$ , KRNtg  $T_{eff}$  plus KRNtg  $T_{reg}$ , and IL-21R $^{-/-}$  KRNtg  $T_{eff}$  plus KRNtg  $T_{reg}$  were mixed at a ratio of 1:10, and  $1 \times 10^6$  cells were adoptively transferred into BxN.45.1 mice ( $n = 4$  recipients/group). After 14 d post-transfer, the ratios of Foxp3 $^+$ /Foxp3 $^-$  among transferred  $CD4^+$  T cells and arthritis scores were determined. **(F)** KRNtg  $CD4^+$  cells were isolated from lymphoid organs of KRNtg mice (purity  $>98\%$ ), and  $1.5 \times 10^6$  KRNtg  $CD4^+$  T cells were adoptively transferred into BxN.45.1 recipient mice ( $n = 6$  recipient mice/group). Recipient mice were injected i.p. with PBS or 20  $\mu$ g rmlIL-21 every 2 d after adoptive cell transfer. At day 14 the percentage of Foxp3 $^+$   $T_{regs}$  and Foxp3 $^-$   $T_{eff}$ s was determined on transferred  $CD4^+CD45.2^+$  KRNtg cells; depicted is the difference between PBS- and IL-21-treated animals for transferred Foxp3 $^-$   $T_{eff}$ s and transferred Foxp3 $^+$   $T_{regs}$ . Arthritis scores were measured for 14 d after transfer. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

pansion and made them more susceptible to PD1-dependent exhaustion (38). We therefore assessed whether, in our model of autoimmune arthritis, enhanced PD1–PD-L1 signaling could play a similar role. PD1 expression was higher on  $T_{regs}$  than  $T_{eff}$ s both in naive BxN and arthritic K/BxN mice (Supplemental Fig. 3A). CD11b $^+$  macrophages/monocytes and CD11c $^+$  DCs, in arthritic K/BxN mice, as well as CD19 $^+$  B cells were largely expanded (Supplemental Fig. 3B). DCs and B cells displayed a significant upregulation of PD-L1 expression (Supplemental Fig. 3C). We therefore propose that PD-L1 levels are generally increased within inflamed organs of arthritic mice and may contribute to the hampered expansion of  $T_{regs}$  because of their high PD1 expression. However, we were not able to improve  $T_{reg}$  proliferation in K/BxN recipients by treatment with neutralizing anti-PD1 or anti-PD-L1 Abs in vivo (Supplemental Fig. 3D). This does not fully exclude a role for PD1–PD-L1; however, it is likely to be minor relative to IL-2/IL-21 or other as yet unidentified factors.

*Thymic natural  $T_{reg}$  production may drive increases in  $T_{regs}$  in inflamed organs in arthritic mice*

The proliferation of  $T_{regs}$  was decreased in the inflammatory and lymphopenic environment of arthritic mice, and it remains unknown how the numbers of these cells are increased in this disease. We investigated whether enhanced thymic output followed by recruitment of  $T_{regs}$  to inflamed organs could account for the increase. Foxp3 expression was assessed on SP  $CD8^+CD4^+$  thymocytes of 16-d-, 22-d-, and 8-wk-old arthritic K/BxN compared with naive BxN mice. In naive BxN mice, Foxp3 expression on SP  $CD4^+$  thymocytes was comparable between young (16 and 22 d) and adult mice (8 w; Fig. 7A). In contrast, in arthritic mice, Foxp3 expression on SP  $CD4^+$  thymocytes was significantly increased at 22 d and further increased at 8 wk (Fig. 7A). Hence  $T_{reg}$  frequencies in the thymus (Fig. 7A) correlate well with  $T_{reg}$  frequencies in lymphoid organs (Fig. 4A, 4B). Nrp-1 is a surface molecule that was recently identified as a reliable marker to distinguish natural  $T_{regs}$  (n $T_{regs}$ ) arising in thymus from inducible

**FIGURE 7.** Thymic  $T_{reg}$  output may modulate  $T_{reg}$  numbers in inflamed organs of arthritic K/BxN mice. **(A)** FACS analysis of Foxp3 expression on SP  $CD8^-CD4^+$  thymocytes of 16-d-, 22-d-, and 8-wk-old K/BxN mice and KRNtg $^-$  littermates (BxN) ( $n \geq 5$  mice per group). **(B and C)** FACS analysis of Nrp-1 expression on Foxp3 $^+CD4^+$  T cells in spleen of 8-wk-old healthy BxN and arthritic K/BxN mice ( $n \geq 5$  mice/group). Displayed are representative FACS plots plus graph showing the percentage of Nrp-1 $^+$  on Foxp3 $^+CD4^+$  T cells (B) and absolute numbers of Nrp-1 $^-$ Foxp3 $^+CD4^+$   $nT_{regs}$  and Nrp-1 $^-$ Foxp3 $^+CD4^+$   $iT_{regs}$  per spleen of healthy BxN and arthritic K/BxN mice (C).  $**p < 0.01$ ,  $***p < 0.001$ ,  $****p < 0.0001$ .



$T_{regs}$  ( $iT_{regs}$ ) generated in the periphery through the induction of Foxp3 (39). We found a higher percentage of Nrp-1-expressing  $T_{regs}$  in secondary lymphoid organs of arthritic K/BxN compared with healthy BxN animals (Fig. 7B). Moreover, the absolute cell number per lymphoid organ of Nrp-1 $^-$   $iT_{regs}$  was comparable between arthritic K/BxN and healthy BxN mice, whereas Nrp-1 $^+$   $nT_{regs}$  were increased in arthritic animals (Fig. 7C). This supports our assertion that the increase in  $T_{regs}$  may be mainly because of an increased thymic  $T_{reg}$  output.

#### Thymic $nT_{reg}$ production may be driven by IL-7 in arthritic K/BxN mice

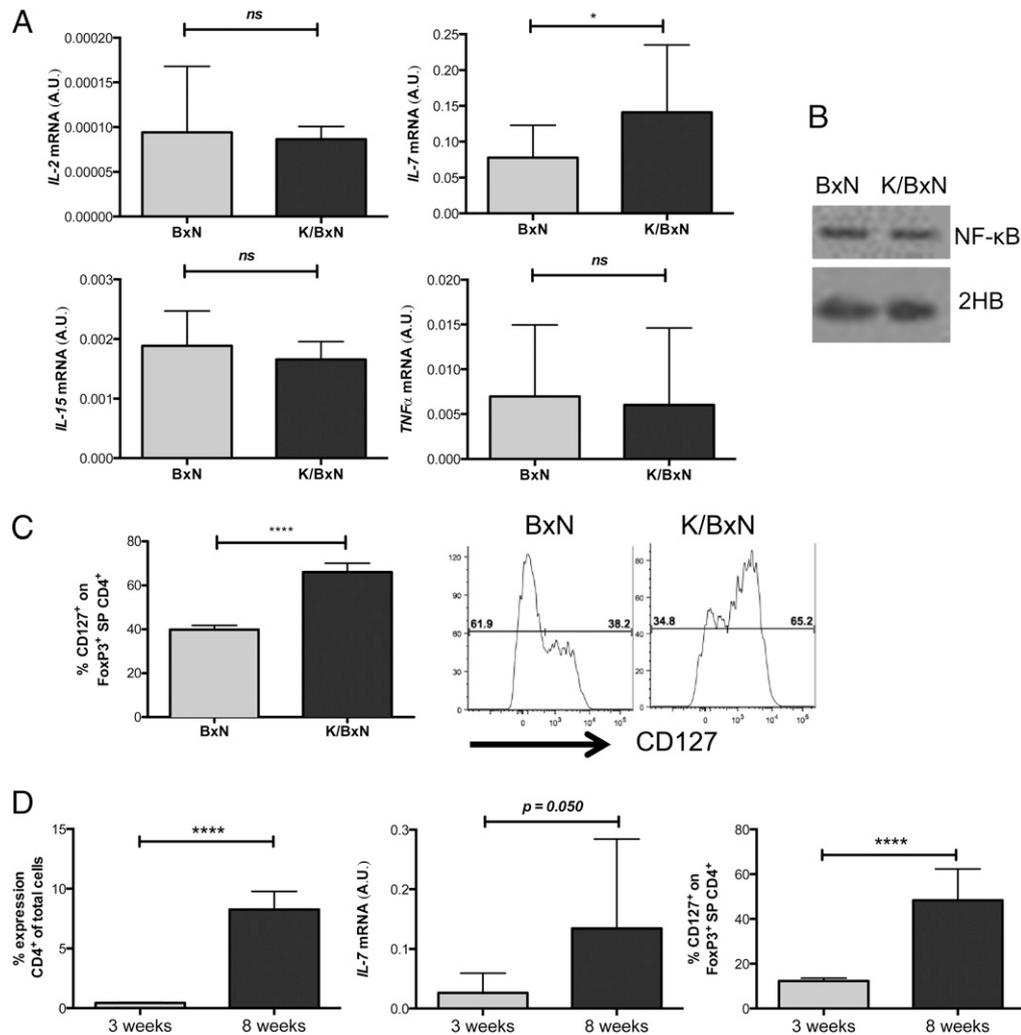
We next investigated which factors may mediate increased thymic  $T_{reg}$  production. The common  $\gamma$ -chain cytokines IL-2, IL-7, and IL-15 are important for thymic  $T_{reg}$  homeostasis (31, 40–42). Increased NF- $\kappa$ B signaling in thymocytes may function as sensor of peripheral inflammation and increase the secretion of TNF- $\alpha$ , inducing the development of  $T_{regs}$  in the thymus (43). Thus, the mRNA expression of *IL-2*, *IL-7*, *IL-15*, and *TNF $\alpha$*  was determined in the thymi of 8-wk-old healthy BxN and arthritic K/BxN mice (Fig. 8A). To examine NF- $\kappa$ B signaling, extracts were isolated from thymi and assessed by immunoblot analysis (Fig. 8B). There were no differences in the expression of *IL-2*, *IL-15*, or *TNF $\alpha$*  or in the production of NF- $\kappa$ B between healthy BxN and arthritic K/BxN mice. However, there was an upregulation of *IL-7* in the thymi of arthritic mice (Fig. 8A, 8B). In accordance with this, Foxp3 $^+$  SP  $CD8^-CD4^+$  thymocytes in arthritic K/BxN mice expressed higher levels of IL-7R (CD127) compared with naive BxN mice (Fig. 8C).

These observations may point toward a potential role of IL-7 signaling in the regulation of thymic  $T_{reg}$  homeostasis (42). Because IL-7 signaling may be influenced by inflammatory cells or lymphopenia (44, 45), we compared 3-wk-old prearthritic and noninflamed animals with 8-wk-old arthritic mice. The arthritic 8-wk-old animals showed a substantially less pronounced lymphopenia in secondary lymphoid organs (Fig. 8D). They also exhibit a trend toward thymic upregulation of *IL-7* mRNA, and elevated CD127 levels (Fig. 8D) were detected on their SP  $CD4^+CD8^-$  Foxp3 $^+$  thymocytes (Fig. 8D). The fact that 8-wk-old animals display less severe lymphopenia but arthritic inflammation compared with 3-wk-old prearthritic mice suggests that inflammation rather than lymphopenia may drive those changes (Fig. 9).

To further examine possible effects of inflammatory signals, we injected nonarthritic BxN.45.1 animals with LPS and analyzed thymi after 12 h by FACS (Supplemental Fig. 4A) and after 4 h by quantitative PCR (Supplemental Fig. 4B). In support of our previous data, we detected increased CD127 expression on SP Foxp3 $^+CD8^-CD4^+$  thymocytes (Supplemental Fig. 4A). Changes in thymic *IL-7* mRNA expression levels (Supplemental Fig. 4B) or increases in the percentage of Foxp3 $^+$  among SP  $CD4^+CD8^-$  thymocytes (Supplemental Fig. 4A) were not detectable. The increased CD127 expression on  $CD4^+CD8^-$  Foxp3 $^+$  thymocytes further indicates a possible regulatory effect of inflammatory signals on thymic IL-7-mediated  $T_{reg}$  differentiation that is mediated by the receptor for this cytokine. That LPS treatment did not affect thymic IL-7 expression or increase the percentage of Foxp3 $^+$  among SP  $CD4^+CD8^-$  thymocytes may be because of a whole range of explanations such as the choice of time point, inflammatory stimulus, or the duration of inflammation, which needs to be thoroughly examined in future studies. Moreover, it will be interesting to address mechanistic details of thymic  $T_{reg}$  regulation, output, and migration to inflamed sites in future studies, as well as the influence of inflammatory stimuli and common  $\gamma$ -chain cytokines.

## Discussion

In this article, we show that dysregulation of thymic and peripheral  $T_{reg}$  and  $T_{eff}$  homeostasis drives the induction and progression of autoimmunity in the KRN model of murine RA. We show that a short period of insufficient  $T_{reg}$  control of autoreactive  $T_{eff}$ s ( $T_{FH}$  and Th17 cells) may allow the onset of autoimmunity that then becomes uncontrolled. The inflammatory milieu generated after disease initiation may then further deregulate  $T_{reg}/T_{eff}$  homeostasis and exacerbate the disease course. We identified the cytokines IL-2 and IL-21 as key players in disturbed peripheral  $T_{reg}$  and  $T_{eff}$  homeostasis. A lymphopenia-induced IL-2 deficit particularly affected  $T_{reg}$  expansion, and at the same time, increased IL-21 responses in the inflammatory milieu supported the maintenance of  $T_{eff}$ s. Moreover, our data indicate that inflammation may mediate an enhanced IL-7-mediated thymic  $nT_{reg}$  output that could explain their increased frequencies at inflammatory sites. However, once they arrive in the periphery,  $T_{regs}$  fail to expand adequately, which limits their suppressive effects that are necessary to control expanding autoaggressive T cells (Fig. 9).



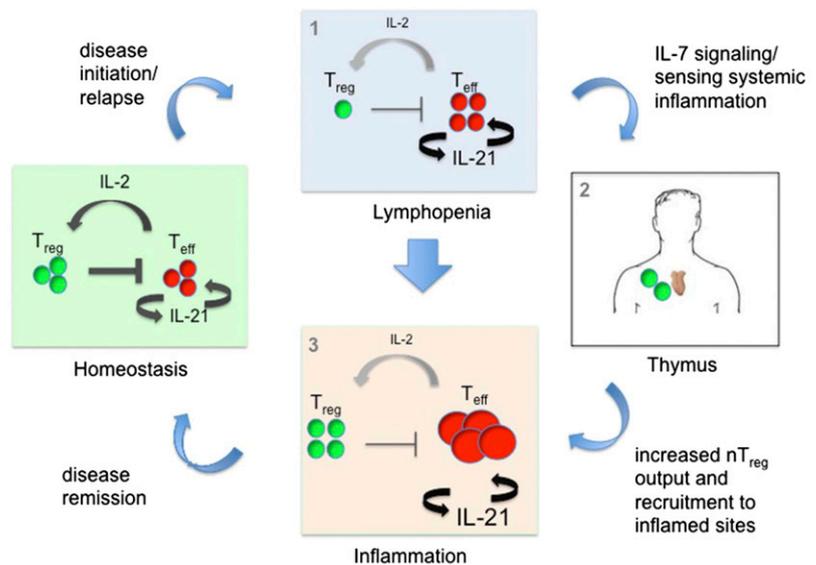
**FIGURE 8.** Thymic  $T_{reg}$  output may control  $T_{reg}$  numbers in inflamed organs of arthritic K/BxN mice. **(A)** The abundance of mRNA encoding for *IL-2*, *IL-7*, *IL-15*, and *TNF $\alpha$*  was determined by quantitative PCR in thymi of 8-wk-old BxN and K/BxN mice ( $n \geq 5$  mice/group). **(B)** Immunoblot analysis of NF- $\kappa$ B expression in nuclear extracts of thymi from 8-wk-old BxN and K/BxN mice relative to anti-Histone 2B (2HB). Samples were run on the same gel but were noncontiguous ( $n = 4-5$  mice/group). **(C)** FACS analysis of the expression of CD127 on Foxp3<sup>+</sup> SP CD8<sup>-</sup> CD4<sup>+</sup> thymocytes of 8-wk-old BxN and K/BxN mice ( $n \geq 5$  mice/group). **(D)** Percentage of CD4<sup>+</sup> T cells in spleen as determined by FACS, *IL-7* mRNA expression in thymi as determined by quantitative PCR, and percentage of CD127<sup>+</sup> on SP CD8<sup>-</sup> CD4<sup>+</sup> thymocytes as determined by FACS in 3-wk-old prearthritic and 8-wk-old arthritic K/BxN mice. \* $p < 0.05$ , \*\*\*\* $p < 0.0001$ .

Our study highlights the importance of IL-2 and IL-21 as modulators of peripheral  $T_{reg}$  and  $T_{eff}$  homeostasis, and clearly demonstrates how opposing IL-2/IL-21 regulation promotes autoimmunity. We found decreased IL-2 and increased IL-21 responses in arthritic CD4<sup>+</sup> T cells of K/BxN compared with healthy BxN mice. IL-2 deficiency particularly affected  $T_{reg}$  proliferation because IL-2 administration triggered  $T_{reg}$  expansion, whereas IL-2 neutralization promoted more severe disease. Hence our data support the concept that IL-2 is particularly required for the homeostasis and survival of  $T_{regs}$  in the periphery (46). Accordingly, another study showed that  $T_{regs}$  are the first to respond to IL-2, and IL-2 signaling particularly favored  $T_{reg}$  activity rather than promoting  $T_{eff}$  expansion (47).

Like IL-2, IL-21 is primarily produced by activated CD4<sup>+</sup> T cells, but its activity opposes the function of IL-2 (32). In terms of  $T_{regs}$ , IL-21 has been postulated to counteract  $T_{reg}$  suppression (33, 34), inhibit their de novo production (35, 36, 48), and negatively regulate  $T_{reg}$  homeostasis through a feedback loop where it reduces IL-2 production from  $T_{effs}$  (37). In addition, IL-21 has been shown to promote  $T_{eff}$  activity (37). We did not detect any

inhibitory effects of IL-21 signaling on  $T_{reg}$  homeostasis. Also, IL-21R deficiency did not affect the early proliferation of  $T_{effs}$ ; instead, it did reduce late  $T_{eff}$  expansion and mitigated disease. Accordingly, IL-21 administration triggered arthritis and increased the ratio of  $T_{effs}$  to  $T_{regs}$  among transferred autoaggressive cells. Mechanistically it is possible that the inductive effects on  $T_{eff}$  homeostasis are due to the fact that IL-21 may confer survival signals to  $T_{effs}$  or promote their increased resistance to  $T_{reg}$ -mediated suppression (33, 34), as reported in animal (13, 33, 34, 49) and human (50, 51) studies of autoimmunity. Data from in vitro suppression assays that the functionality of arthritic  $T_{regs}$  was equal (our studies) or increased (13) compared with naive  $T_{regs}$  may argue against direct inhibitory effects of IL-21 on the function of these  $T_{regs}$ . It seems most likely that the autocrine secretion of IL-21 enables activated CD4<sup>+</sup> T cells to expand and eventually overwhelm  $T_{reg}$  suppression. Given the frequent involvement of IL-21 in autoimmunity (32) and its predominant secretion by infiltrating Th17 and  $T_{FH}$  cells (35, 36, 52), such a scenario may be a general phenomenon that contributes to the pathogenesis of autoimmunity.

**FIGURE 9.** Potential model. Inflammation and lymphopenia modulate  $T_{reg}/T_{eff}$  homeostasis and drive autoimmunity by reciprocal regulation of IL-2 and IL-21 (1). Increased proliferation of  $T_{eff}$ s may be caused by a lymphopenia-induced IL-2 deficit that mainly affects the  $T_{reg}$  compartment. This may result in a period of unopposed  $T_{eff}$  activity sufficient to induce disease. The resulting inflammatory milieu may sustain the IL-2 deficit (3). In addition, increased IL-21 levels may support  $T_{eff}$  expansion in an autocrine manner, by conferring survival signals or increasing  $T_{eff}$  resistance toward  $T_{reg}$ -mediated suppression (3). Subsequently, increased  $T_{reg}$  frequencies at sites of inflammation may be because of increased IL-7-triggered thymic output (2) and recruitment to inflamed tissue, where they suppress but fail to inhibit increasingly expanding and possibly more resistant  $T_{eff}$ s (3).



Inflammatory cytokines, especially IL-21, are also implicated in the induction of an unstable  $T_{reg}$  phenotype. That Foxp3-expressing T cells represent a stable, terminally differentiated lineage has recently been questioned by a number of studies, where it was proposed that  $T_{regs}$ , or a subpopulation of them, retain developmental plasticity (2, 23, 27, 53, 54). In an autoimmune setting, inflammatory signals or a lymphopenic environment could destabilize the  $T_{reg}$  phenotype and allow for functional plasticity and reprogramming into Th1, Th17, or  $T_{FH}$  effector cells (23, 27, 53–59).  $T_{reg}$  instability was, however, not supported by all studies (24) and remains controversial. In our study, we did not observe a noticeable conversion of  $T_{regs}$  into  $T_{eff}$ s, nor were  $T_{regs}$  able to adopt  $T_{eff}$  ( $T_{FH}$ ) function that would result in GC formation, autoantibody production, and disease development. Using mixed adoptive transfer studies, we showed that a relatively stronger expansion of  $T_{eff}$ s ( $T_{FH}$  and Th17 cells) together with the possibility of the outgrowth of  $T_{eff}$ s containing isolated  $T_{regs}$  can be misinterpreted as  $T_{reg}$  to  $T_{eff}$  conversion. Factors, such as inflammatory cytokines or lymphopenia that support a stronger  $T_{eff}$  expansion, increase the number of “pseudo-converted cells.” Accordingly, other studies indicated that  $T_{reg}$  instability was particularly observed in lymphopenic hosts (53) and within the gut microenvironment (Peyer’s patches and mesenteric LN) (54, 55). This is in accordance with our own observations where the greatest numbers of pseudo-converted cells were in  $CD28^{-/-}$  recipients. It is likely that reduced IL-2 availability under lymphopenic conditions hampers  $T_{reg}$  proliferation, whereas high levels of IL-21 in gut-associated lymphoid organs may support expansion of  $T_{eff}$ s, and hence contaminating  $T_{eff}$ s outproliferate  $T_{regs}$ .

Although it has been shown that  $CD25^{hi}$   $T_{regs}$  retain their Foxp3 expression (2, 24),  $CD25^{lo}$  Foxp3<sup>+</sup>  $T_{regs}$  were identified as an unstable subset (23, 27). Moreover, under the inflammatory conditions of collagen-induced arthritis, such a cell population was able to acquire a Th17 phenotype induced by IL-6 (27). However, this study also showed that these ex $T_{reg}$  Th17 cells may originate from iT<sub>regs</sub> rather than n $T_{regs}$ , with only the latter being increased in inflamed lymphoid organs in our studies. We did not find increased IL-17 or IFN- $\gamma$ , but CCR6 was elevated on arthritic  $T_{regs}$ , what they may have acquired to facilitate migration to inflamed tissues.

In our studies of  $T_{reg}$  stability, it has to be taken into consideration that Foxp3.gfp reporter mice were used. For our questions,

the Foxp3.gfp reporter mouse model has been a critical tool because sorting of  $T_{reg}$  cells at high purity was required. However, recent reports have indicated that the reporter may change autoimmune severity (60, 61). For our study, we would anticipate the reported phenotypic, functional, and transcriptional perturbations (60, 61) to have little or no impact, although this remains untested.

Under normal circumstances, the scenario that  $T_{reg}$  expansion follows that of  $T_{eff}$ s with some delay has physiological importance. To boost their fitness and expansion,  $T_{regs}$  rely on adequate  $T_{eff}$  activation (62, 63). For this reason, it has been proposed that  $T_{reg}$  responses do not arrest a primary immune response, but rather track the  $T_{eff}$  response (64) to starve them of survival factors, such as IL-2 or TNF- $\alpha$  (47, 62, 63). This enables necessary immune responses, which are then controlled to prevent overexuberant immune activation. Under conditions that favor  $T_{eff}$  proliferation (inflammation and lymphopenia), there is a dysregulation in the  $T_{reg}/T_{eff}$  balance, and the  $T_{reg}$  response may be overwhelmed by  $T_{eff}$ s, resulting in autoimmunity. This would rely on a more potent expansion of  $T_{eff}$ s in response to inflammation or lymphopenia at different disease stages. At early or predisease stages, the resulting unopposed  $T_{eff}$  activity may tip the balance toward autoimmunity; during established disease, it may underpin phases of remittent or relapsing disease.

In summary, we demonstrate how imbalances in  $T_{reg}$  and  $T_{eff}$  homeostasis control the onset and progression of disease in RA. Given that states of inflammation or lymphopenia are a relatively common occurrence throughout life, and may also result from therapeutic regimens, it is necessary to elucidate factors and mechanisms that restore homeostasis or augment  $T_{reg}$  activity. Because IL-2 acts as a  $T_{reg}$  enhancer outweighing its simultaneous fueling of the  $T_{eff}$  pool, and IL-21 confers maintenance signals to  $T_{eff}$ s, they may become new targets for novel attempts to manipulate immunological tolerance, or effector responses, to subdue or enhance immune responses. Such a concept may not only have significant implications for therapeutic use in RA, but also in other autoimmune and inflammatory diseases and cancer immunotherapy.

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## Disclosures

The authors have no financial conflicts of interest.

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